

## Exam Category Theory and Topos Theory

May 30, 2016; 10:00–13:00

With solutions and comments on the grading

THIS EXAM CONSISTS OF FIVE PROBLEMS. ALL PROBLEMS HAVE EQUAL WEIGHT (10 POINTS); WHERE A PROBLEM CONSISTS OF MORE THAN ONE PART, IT IS INDICATED WHAT EACH PART IS WORTH

**Exercise 1.** Let  $\mathcal{C}$  be a locally small category. For an object  $X$  of  $\mathcal{C}$  we define the *representable* functor  $R_X : \mathcal{C} \rightarrow \text{Set}$  by

$$R_X(A) = \mathcal{C}(X, A)$$

(and on arrows by composition)

- a) (3 pts) Prove that the functor  $R_X$  preserves monomorphisms.
- b) (4 pts) Assume that the category  $\mathcal{C}$  has all small coproducts. Show that  $R_X$  has a left adjoint.
- c) (3 pts) Suppose  $F : \mathcal{C} \rightarrow \text{Set}$  is a functor and  $\mu : R_X \Rightarrow F$  a natural transformation. Show that  $\mu$  is completely determined by the element  $\mu_X(\text{id}_X)$  of  $F(X)$ .

**Exercise 2.** For each of the functors given below, determine whether it preserves all limits, and whether it preserves all colimits. Give a short argument in each case.

- a) (2 pts) The forgetful functor  $\text{Ring} \rightarrow \text{Mon}$ , which sends each ring to its underlying multiplicative monoid.
- b) (3 pts) The domain functor:  $\mathcal{C}/A \rightarrow \mathcal{C}$ , where  $\mathcal{C}$  is a category with finite products, and  $A$  is a non-terminal object of  $\mathcal{C}$  (recall that an object of  $\mathcal{C}/A$  is an arrow with codomain  $A$ ).
- c) (3 pts) The forgetful functor from preorders to sets.
- d) (2 pts) The “poset reflection functor” functor from preorders to posets: it sends a preorder  $P$  to the poset of isomorphism classes in  $P$ .

**Exercise 3.** In this exercise we work in a regular category  $\mathcal{C}$ . Suppose  $f : X \rightarrow Y$  is an arrow in  $\mathcal{C}$ ; we denote by  $f^* : \text{Sub}(Y) \rightarrow \text{Sub}(X)$  the function on subobjects defined by pullback along  $f$ .

- a) (5 pts) Prove, for  $M \in \text{Sub}(X)$  and  $N \in \text{Sub}(Y)$  the following identity:

$$\exists_f(M \wedge f^*N) = \exists_f(M) \wedge N$$

- b) (5 pts) Now suppose that  $f$  is a regular epimorphism. Prove, for subobjects  $M, N$  of  $Y$ , that  $f^*M \leq f^*N$  implies  $M \leq N$ .

**Exercise 4.** Let  $G$  be a group with more than 1 element, considered as a category. We consider the category  $\text{Set}^{G^{\text{op}}}$ , which we may identify with the category of  $G$ -sets.

- a) (3 pts) Show that in  $\text{Set}^{G^{\text{op}}}$ , the terminal object is not projective. Recall that an object  $P$  is projective if, whenever we have an arrow  $f : P \rightarrow Y$  and an epimorphism  $g : X \rightarrow Y$ , there is an arrow  $h : P \rightarrow X$  such that  $f = gh$ .
- b) (4 pts) Show that in  $\text{Set}^{G^{\text{op}}}$ , the object  $\{0, 1\}$  with trivial  $G$ -action is a subobject classifier.
- c) (3 pts) Show that  $\text{Set}^{G^{\text{op}}}$  is Boolean. That is, every subobject lattice is a Boolean algebra.

**Exercise 5.** Let  $\mathcal{C}$  be a small category; we work in the category  $\text{Set}^{\mathcal{C}^{\text{op}}}$  of presheaves on  $\mathcal{C}$ .

- a) (2 pts) Let  $U$  be a subobject of 1. Show that  $U$  determines a *sieve on*  $\mathcal{C}$ , that is: a set of objects  $\mathcal{D}$  with the property that for any morphism  $X \rightarrow Y$ , if  $Y \in \mathcal{D}$  then  $X \in \mathcal{D}$ .
- b) (3 pts) We define, using the sieve  $\mathcal{D}$  on  $\mathcal{C}$  from part a), a morphism  $c(U) : \Omega \rightarrow \Omega$  in  $\text{Set}^{\mathcal{C}^{\text{op}}}$  by putting, for a sieve  $R$  on an object  $C$ :

$$c(U)_C(R) = R \cup \{f : C' \rightarrow C \mid C' \in \mathcal{D}\}$$

Prove that  $c(U)$  is a Lawvere-Tierney topology on  $\text{Set}^{\mathcal{C}^{\text{op}}}$ .

- c) (2 pts) Let  $F$  be a subsheaf of a presheaf  $G$  on  $\mathcal{C}$ . Prove that  $F$  is dense for  $c(U)$  if and only if for all  $C \in \mathcal{C}_0$  and  $x \in G(C)$  we have:  $x \in F(C)$  or  $C \in \mathcal{D}$ .
- d) (3 pts) Prove that the category of sheaves for  $c(U)$  is equivalent to the category of presheaves on some subcategory of  $\mathcal{C}$ .

## Solutions and comments on the grading

The exam seems to have been a bit tough, or in any case a lot of work for most students; no one handed in a *perfect* solution. In order to obtain a decent result I have decided to count, for each student, only his/her four best exercises.

### Exercise 1

- a) For an arrow  $f : A \rightarrow B$ , we have  $R_X(f) : \mathcal{C}(X, A) \rightarrow \mathcal{C}(X, B)$  sending  $g : X \rightarrow A$  to the composition  $fg$  in  $\mathcal{C}$ . If  $f$  is mono and  $g, h : X \rightarrow A$  are elements of  $\mathcal{C}(X, A)$ , then clearly  $fg = fh$  implies  $g = h$ , so  $R_X(f)$  is injective, which means it is a monomorphism in  $\text{Set}$ .
- b) An arrow  $Y \rightarrow R_X(A)$  in  $\text{Set}$  is just a  $Y$ -indexed family of arrows  $\{f_y : X \rightarrow A \mid y \in Y\}$ . Since  $\mathcal{C}$  has all small coproducts, this corresponds uniquely to an arrow from the  $Y$ -indexed coproduct of copies of  $X$ ,  $\sum_{y \in Y} X$ , to  $A$ . For every function  $\phi : Y \rightarrow Z$  we have an arrow

$$\sum_{y \in Y} X \rightarrow \sum_{z \in Z} X$$

which sends the  $y$ -th cofactor to the  $\phi(y)$ -th one. This determines a functor  $\text{Set} \rightarrow \mathcal{C}$  which is left adjoint to  $R_X$ .

- c) This is just the Yoneda lemma, and saying so would have given you 3 points. Concretely, if  $\mu$  is as given and  $f \in R_X(A)$ , then  $f = R_X(f)(\text{id}_X)$ , so by naturality

$$\mu_A(f) = \mu_A(R_X(f)(\text{id}_X)) = F(f)(\mu_X(\text{id}_X))$$

which proves the claim.

### Exercise 2

- a) This functor has a left adjoint, which sends each monoid  $M$  to the ring  $\mathbb{Z}[M]$  of finite expressions  $n_1 m_1 + \dots + n_k m_k$  (for  $k \geq 0$ ) with  $n_i \in \mathbb{Z}$  and  $m_i \in M$ . Therefore, it preserves all limits.

It does *not* preserve all colimits; for example, the initial object of  $\text{Ring}$ , the ring  $\mathbb{Z}$ , is not sent to the initial monoid (which is a one-element monoid).

- b) This functor has a right adjoint, which sends an object  $X$  of  $\mathcal{C}$  to the projection  $X \times A \rightarrow A$ . It therefore preserves all colimits.

It does *not* preserve all limits; for example, the terminal object of  $\mathcal{C}/A$  is the identity on  $A$ , which is sent to  $A$ , which as stated is non-terminal in  $\mathcal{C}$  (if  $A$  were terminal, then the given functor would be an equivalence).

- c) This functor has *both* adjoints: the left adjoint sends a set  $X$  to the discrete preorder on  $X$  ( $x \leq y$  iff  $x = y$ ) and the right adjoint sends  $X$  to the *indiscrete* preorder on  $X$  ( $x \leq y$  always). So it preserves all limits and all colimits.
- d) The poset reflection functor is, as is easily seen, left adjoint to the inclusion of Posets into Preorders; it preserves all colimits. It does *not* preserve all limits; for example, look at equalizers. Consider the two maps  $f, g : 1 \rightarrow A$  where  $A$  is the indiscrete preorder on a two-element set. The equalizer is the empty set (you may apply c) here). However, upon poset reflection the two maps become equal, and the equalizer is 1 itself.

Some students (even among the very best) remarked that this functor is “an equivalence of categories”. Although this is quite erroneous, it is, in the heat of the fight, a plausible error to make; and I have therefore decided to award you 1.5 pts if you wrote this down. After all, every preorder is, as a category, equivalent to its poset reflection; however, equivalence is not isomorphism, and in any case pseudo-inverses need choice and cannot be natural.

### Exercise 3

- a) Let us abuse notation and write the same symbol for a subobject and the domain of a representing monomorphism. So we have subobjects  $M \xrightarrow{m} X$  and  $N \xrightarrow{n} Y$ ; we have the regular epi-mono factorization  $M \rightarrow \exists_f M \rightarrow Y$ , and pullback diagrams

$$\begin{array}{ccccc}
 M \wedge f^*N & \longrightarrow & M & & f^*N & \longrightarrow & X & & (\exists_f M) \wedge N & \longrightarrow & \exists_f M \\
 \downarrow & & \downarrow m & & \downarrow & & \downarrow f & & \downarrow & & \downarrow \\
 f^*N & \longrightarrow & X & & N & \xrightarrow{n} & Y & & N & \xrightarrow{n} & Y
 \end{array}$$

Now the compositions  $M \wedge f^*N \rightarrow f^*N \rightarrow N \rightarrow Y$  and  $M \wedge f^*N \rightarrow M \rightarrow \exists_f M \rightarrow Y$  are clearly equal, so by the third pullback diagram we

have a unique arrow  $g : M \wedge f^*N \rightarrow (\exists_f M) \wedge N$ , making the diagrams

$$\begin{array}{ccc} M \wedge f^*N & \xrightarrow{g} & (\exists_f M) \wedge N \\ \downarrow & & \downarrow \\ M & \longrightarrow & \exists_f M \end{array} \quad \begin{array}{ccc} M \wedge f^*N & \xrightarrow{g} & (\exists_f M) \wedge N \\ \downarrow & & \downarrow \\ f^*N & \longrightarrow & N \end{array}$$

commute.

Consider now the diagrams

$$\begin{array}{ccc} M \wedge f^*N & \longrightarrow & M \\ \downarrow g & & \downarrow \\ (\exists_f M) \wedge N & \longrightarrow & \exists_f M \\ \downarrow & & \downarrow \\ N & \longrightarrow & Y \end{array} \quad \begin{array}{ccc} M \wedge f^*N & \longrightarrow & M \\ \downarrow & & \downarrow \\ f^*N & \longrightarrow & X \\ \downarrow & & \downarrow \\ N & \longrightarrow & Y \end{array}$$

The outer squares of both are equal, and the right-hand diagram is a composite of two pullbacks; therefore the left hand outer square is a pullback. Since also the left hand lower square is a pullback, the left-hand upper square must be a pullback. That means that  $g$ , being pullback of the regular epi  $M \rightarrow \exists_f M$ , is a regular epi; but now we see that

$$M \wedge f^*N \xrightarrow{g} (\exists_f M) \wedge N \longrightarrow Y$$

is a regular epi-mono factorization. This establishes that

$$\exists_f(M \wedge f^*N) = (\exists_f M) \wedge N$$

as desired.

One inequality was easy to prove: we have  $M \wedge f^*N \leq M$  and  $M \wedge f^*N \leq f^*N$ , so since  $\exists_f$  is order-preserving we have  $\exists_f(M \wedge f^*N) \leq (\exists_f M) \wedge \exists_f f^*N$ ; now  $\exists_f f^*N \leq N$  by the adjunction  $\exists_f \dashv f^*$ , hence  $\exists_f(M \wedge f^*N) \leq (\exists_f M) \wedge N$ . If you had only this, you got 3 points.

You got 3.5 points if you proved the equality assuming that  $\text{Sub}(X)$  and  $\text{Sub}(Y)$  were Heyting algebras and  $f^*$  preserved the Heyting structure; although of course, we cannot in general assume this in a regular category.

- b) Suppose  $f : X \rightarrow Y$  is regular epi. Now for any subobject  $N$  of  $Y$ , we have a pullback

$$\begin{array}{ccc} f^*N & \longrightarrow & N \\ \downarrow & & \downarrow \\ X & \xrightarrow{f} & Y \end{array}$$

so the map  $f^*N \rightarrow N$  is regular epi, and hence the regular epi part of the composite map  $f^*N \rightarrow Y$ . Therefore  $N = \exists_f f^*N$  for all subobjects  $N$  of  $Y$ ; from this (and the fact that  $\exists_f$  is order-preserving) the required implication follows at once.

#### Exercise 4

- a) The presheaf category  $\text{Set}^{G^{\text{op}}}$  is isomorphic to the category  $G\text{-Set}$  of sets  $X$  together with a right  $G$ -action  $X \times G \rightarrow X$ , and  $G$ -equivariant functions (functions commuting with the  $G$ -action). Modulo this isomorphism, the fact that in any presheaf category, limits and colimits are calculated point-wise, translates a.o. into the statements that the terminal object is a one-element set (with unique  $G$ -action); that epis are surjective functions and monos are injective functions. Furthermore, the one representable presheaf corresponds to the object  $G$ , with  $G$ -action given by multiplication in  $G$ .

If  $1$  were projective, we would have a section for the epi  $G \rightarrow 1$ . However, such a section must send the unique element of  $1$  to an element of  $G$  which is invariant under the action; i.e., an element  $g \in G$  for which  $gh = g$  for all  $h \in G$ . This is clearly impossible if  $G$  has more than one element.

- b) A subobject of a  $G$ -set  $X$  is just a subset  $A \subset X$  which is closed under the action. Since  $G$  is a group, this means that then also  $X - A$  is closed under the  $G$ -action. We have therefore a classifying map  $\chi_A : X \rightarrow \{0, 1\}$  such that  $\chi_A(x) = 0$  iff  $x \in A$ ; i.e. a map such that

$$\begin{array}{ccc} A & \longrightarrow & X \\ \downarrow & & \downarrow \chi_A \\ 1 & \xrightarrow{t} & \{0, 1\} \end{array}$$

is a pullback (if  $t(\star) = 0$ ), and  $\chi_A$  is clearly unique with this property. Note, that by our remarks above,  $\chi_A$  is a morphism of  $G$ -sets.

- c) We know that in any topos,  $\text{Sub}(X)$  is a Heyting algebra, so it only remains to see that complements exist in  $\text{Sub}(X)$ . Basically, the argument is the same as for b); observe that for a subobject  $A$  of  $X$ ,  $X - A$  is a complement (again using that limits and colimits are calculated point-wise).

**Exercise 5.**

- a) In  $\text{Set}^{\mathcal{C}^{\text{op}}}$ ,  $1$  is the presheaf with  $1(C) = \{*\}$  for all  $C$ . If  $U$  is a subobject of  $1$ , we may regard  $U$  as a subpresheaf of  $1$ , and  $U$  determines the set of objects

$$\mathcal{D} = \{C \in \mathcal{C}_0 \mid * \in U(C)\}$$

Clearly, if  $C \in \mathcal{D}$  and  $f : C' \rightarrow C$  then  $C' \in \mathcal{D}$ .

- b) Let  $\Phi_C = \{f : C' \rightarrow C \mid C' \in \mathcal{D}\}$ , so  $c(U)_C(R) = R \cup \Phi_C$ . The properties  $R \subseteq c(U)_C(R)$ ,  $c(U)_C(R \cap S) = c(U)_C(R) \cap c(U)_C(S)$  and  $c(U)_C(c(U)_C(R)) = c(U)_C(R)$  all follow trivially from this.
- c) A subpresheaf  $F$  of  $G$  is dense if and only if for each object  $C$  of  $\mathcal{C}$  and every  $x \in G(C)$ , we have that  $c(U)_C((\chi_F)_C(x))$  is the maximal sieve on  $C$ , where  $\chi_F(x)$  is the sieve on  $C$  consisting of precisely those  $f : C' \rightarrow C$  for which  $G(f)(x) \in F(C')$ . So,  $F$  is dense in  $G$  if and only if for each  $C$  and  $x$ , the arrow  $\text{id}_C$  is an element of  $(\chi_F)_C(x) \cap \Phi_C$ ; but  $\text{id}_C \in (\chi_F)_C(x)$  means that  $x \in F(C)$  and  $\text{id}_C \in \Phi_C$  means that  $C \in \mathcal{D}$ , so we are done.
- d) We use the property which characterizes sheaves w.r.t. dense inclusions: a presheaf  $X$  is a sheaf if and only if for every dense inclusion  $F \subseteq G$ , every map  $F \rightarrow X$  has a unique extension to a map  $G \rightarrow X$ .

We claim that  $X$  is a sheaf for  $c(U)$  if and only if for every object  $C \in \mathcal{D}$ ,  $X(C)$  is a singleton set.

Clearly, the condition above is sufficient: suppose that for every object  $C \in \mathcal{D}$ ,  $X(C)$  is a singleton set. Let  $F \subseteq G$  be dense, and  $\mu : F \rightarrow X$  a map. Since for  $C \in \mathcal{D}$  there is nothing to choose (by the condition) and for  $C \notin \mathcal{D}$ ,  $F(C) = G(C)$ , we have a clearly unique extension of  $\mu$ . So  $X$  is a sheaf.

Conversely, suppose  $X$  is a sheaf. Let us write  $\mathcal{D}$  also for the full subcategory of  $\mathcal{C}$  on the set of objects  $\mathcal{D}$ , and write  $X|_{\mathcal{D}}$  for the restriction of the functor  $X$  to  $\mathcal{D}^{\text{op}}$ . Let  $Y$  be any presheaf on  $\mathcal{D}$  and  $\hat{Y}$  be the

presheaf on  $\mathcal{C}$  defined by:

$$\hat{Y}(C) = \begin{cases} Y(C) & \text{if } C \in \mathcal{D} \\ \emptyset & \text{otherwise} \end{cases}$$

Let  $\mathbf{0}$  be the empty presheaf on  $\mathcal{C}$ . Now  $\mathbf{0} \subseteq \hat{Y}$  is dense, so the unique map  $\mathbf{0} \rightarrow X$  has a unique extension  $\hat{Y} \rightarrow X$ ; in other words, there is, for any presheaf  $Y$  on  $\mathcal{D}$  exactly one arrow from  $Y$  to  $X \upharpoonright \mathcal{D}$ . This means  $X \upharpoonright \mathcal{D}$  is terminal in  $\text{Set}^{\mathcal{D}^{\text{op}}}$ , so the given condition holds.

We now see that the category of sheaves for  $c(U)$  is equivalent to the category of presheaves on  $\mathcal{E}$ , where  $\mathcal{E}$  is the full subcategory of  $\mathcal{C}$  on the objects *not* in  $\mathcal{D}$ .