

A Functional Specification of Effects

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How can we write
reliable software?

Static typing

- Types can give a “partial proof of correctness.”
- For example:

`div : Int -> Int -> Int`

- We can prevent certain illegitimate calls to `div`, such as `div True 2`;
- ... but what about `div 3 0` ?

Dependent types

- If we want to make sure that division never goes wrong, we need stronger types.
- A better type for division would be:

`Int -> {x : Int | x != 0} -> Int`

- Now the *value* `x` appears in a *type*.

Status quo

- Dependent types form the basis of many *theorem proving tools*, such as Coq.

- Coq has

- a rich type theory \sim propositions;

`forall p : Prop, p -> p`

- a simple lambda calculus \sim proofs.

`fun x => x`

Curry-Howard Isomorphism

- Coq has
 - a rich type system \sim types;
`forall a : Set, a -> a`
 - a simple lambda calculus \sim programs.

```
fun x => x
```

Example

- We can implement *stacks* as a list;
- and add functions to manipulate stacks;
- prove properties of our implementation;
- extract code to Haskell or ML.

Limitations

- Programs in Coq must be pure and total:
 - must terminate on all possible inputs;
 - no mutable state, I/O, etc.
- Great for formalizing constructive mathematics.
- What about programming *queues* using mutable references?

Real programs

- Real programs tend to:
 - diverge;
 - throw exceptions;
 - use concurrency;
 - interact with the user;
 - use mutable state...

How can we incorporate such effects in a dependently typed programming language?

Haskell

- Haskell is a functional language with a careful treatment of I/O.
- All effects are encapsulated in a **monad**;
- This determines a clear evaluation order – Haskell is a *non-strict* language.

Monads: motivation

- What does the following Haskell program do?

```
[print "Hello", print "World"]
```
- In Haskell, the print statements are not immediately evaluated.
- Monads make the evaluation order explicit.

Monads: top-down

- The `main` function that gets executed when you run a Haskell program has type:

```
main :: IO a
```

- It does some I/O,
- and returns a value of type `a`.

Monads: bind

- To sequence two effectful computations, we could use a “semi-colon” operation:

`>> :: IO a -> IO b -> IO b`

- But now the result of the first computation always gets discarded.

Monads: bind

- A better choice is:

$$\text{IO } a \rightarrow (a \rightarrow \text{IO } b) \rightarrow \text{IO } b$$

- This feeds the result of the first computation to the second one.

Monads: return

- Sometimes we want to mix I/O interactions and pure computations.

`return :: a -> IO a`

- There's no function going the other way!

Monads: example

- In Haskell, you have built-in functions that perform I/O.

```
getChar :: IO Char
```

```
putChar :: Char -> IO ()
```

- Using the monadic operators you can combine them to form complex computations.

Example: echo

- For example, you can write an echo function:

```
echo :: IO ()
```

```
echo = getChar >>= \c ->
```

```
    putChar c >>= \() ->
```

```
    echo
```

Monads: generally

- Any functor with a bind and return operation (subject to certain laws) is a monad.
- Haskell supports special syntax for programming with monads.
- “Programmable semi-colon”

Will this do?

Reasoning

- Reasoning about **pure** functional programs is really easy:
 - structural induction;
 - expand definitions.
- This is essentially what we can do using proof assistants such as Coq.
- But what about the **impure** ones?

Echo revisited

- We would like to reason about how our echo function behaves...
- But functions like `getChar` don't have a pure definition.
- Instead, it calls a C library that does all the dirty work.

Now what?

- We could break out a semantics textbook, hope to find some useful semantics that correspond to how Haskell behaves, and do a pen and paper proof.

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- We could break out a semantics textbook, hope to find some useful semantics that correspond to how Haskell behaves, and do a pen and paper proof.

... but that's not how we're going to do it.

Idea

- The rest of this talk will outline one idea:
**a purely functional
specification of effects**
 - can help you reason about your code;
 - opens the door to “verified effectful programs”.

Outline

- We'll build a monad capturing the operations we want to specify;
- Add functions to build computations in this monad;
- and assign meaning to these computations.

Another monad...

```
data IO a where
```

```
    Return :: a -> IO a
```

```
| Put    :: Char -> IO a -> IO a
```

```
| Get    :: (Char -> IO a) -> IO a
```

Building computations

We introduce some helper functions to make it easier to write computations:

```
getChar :: IO Char
```

```
getChar = Get Return
```

```
putChar :: Char -> IO ()
```

```
putChar c = Put c (Return ())
```

Result

- What should the result of a computation be?

```
data Output =
```

```
    Finish a
```

```
  | Print Char (Output a)
```

```
  | Read (Output a)
```

Executing computations

```
run :: IO a ->
```

```
    Stream Char -> Output a
```

```
run input (Return x) = Finish x
```

```
run (i:is) (Get g) = run is (g i)
```

```
run is (Put c io) =
```

```
    Print c (run is io)
```

Why bother?

- So we've written quite a bit of code, what does this buy us?
- We can write specifications of impure computations;
- and show that our impure computations meet their spec.

Example: echo

- We can specify the behaviour we expect our echo function to have:

```
copy :: Stream Char -> Output ()
```

```
copy (i:is) =
```

```
  Read (Print i (copy is))
```

- And we can prove once and for all:

```
run echo is = copy is
```


A coinductive aside

- Our IO data type is actually mixed inductive-coinductive:
 - $\nu X . \mu Y . Y^C + X \times C + A$
- We can only consume finite input from the user, before producing (potentially infinite) output to the screen.
- Note: run and copy are still total.

So what?

- If we write our specifications in Coq, this proof can be machine-verified
- If we program in Haskell we can port all the debugging and testing technology on pure programs to work on these pure specifications.

Is that all?

- We have written similar semantics for:
 - mutable state;
 - concurrency;
 - STM;
 - non-termination;
 - distributed arrays;

Outline

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Mutable state

```
data Ref = Int
```

```
data IO a where
```

```
    Return :: a -> IO a
```

```
| Read  :: Ref -> (Int -> IO a) -> IO a
```

```
| Write :: Ref -> Int -> IO a -> IO a
```

```
| New  :: Int -> (Ref -> IO a) -> IO a
```

Mutable state II

```
new :: Int -> IO Ref
```

```
write :: Int -> Ref -> IO ()
```

```
read :: Ref -> IO Int
```

Describing memory

```
data Heap = Ref -> Int
```

```
type Store = (Heap, Int)
```

```
emptyStore = (undefined, 0)
```

Execution

- Our semantics now have the following type:

$IO\ a \rightarrow Store \rightarrow (a, Store)$

- but not everything in the garden is rosy...

What's wrong?

- Our run function is not **total**...
- What will happen when we access unallocated memory?
- We have only managed to store natural numbers – what if we want something else?

Solution

- In a richer type theory, such as Coq, or Epigram, or Agda, we can give a total run function...
- ... and even provide heterogeneous references.

Sized heaps

- We record the size of the heap:

```
data Heap : Nat -> * where
```

```
  empty : Heap 0
```

```
  alloc  : Nat -> Heap n
```

```
          -> Heap (n + 1)
```

Key points

- We make sure references always point to a valid place in the heap;
- We now write $\text{IO } n \ m \ a$ for a computation that takes a heap of size n to a heap of size m , returning a value of type a .
- Our run function uses this “heap size” information to guarantee totality.

But now...

- Precise types help guarantee total semantics,
- but introduce new problems:
 - when we allocate new memory, the type of valid references changes.
 - it becomes much harder to write compositional programs.

Further work

- Add more powerful logical technology (separation logic, Hoare logic, ...)
- Find good examples!
- Combine effects.
- Make it usable.