

Prop 4.18: Closed inside compact is compact: -1-

$(X, \mathcal{J}) = \text{cpt space}$ ,  $A \text{ -closed in } X \Rightarrow A \text{ (with induced topology) is compact}$

Prop 4.19: Compact inside Hausdorff is closed:

$(X, \mathcal{J}) = \text{Hausdorff space}$ ,  $A \subseteq X$ ,  $A \text{ -cpt} \Rightarrow A \text{ is closed in } X$

Prop 4.20: In Hausdorff spaces, disjoint compacts can be separated topologically:

$(X, \mathcal{J}) = \text{Hausd}$ ,  $A, B \subseteq X$   
 $A \cap B = \emptyset$ ,  $A, B \text{ -cpt}$  }  $\Rightarrow \exists \text{ opens } U, V \subseteq X \text{ s.t. } \begin{cases} A \subseteq U \\ B \subseteq V \\ U \cap V = \emptyset \end{cases}$

Theorem 4.23: Product of two compacts is compact:

$X, Y \text{ -compact spaces} \Rightarrow \text{so is } X \times Y \text{ (with the product topology)}$

Lemma 4.17:  $[0, 1]$  is compact.

Corollary: For any  $A \subseteq \mathbb{R}^n$  (with the Euclidean topology): -1-

$A \text{ -compact} \Leftrightarrow A \text{ -bounded and closed in } \mathbb{R}^n$

Theorem 4.26: Continuous functions send compacts to compacts:

$f: X \rightarrow Y$  continuous,  $A \subseteq X$  compact  $\Rightarrow f(A)$  is compact

Theorem 4.27: Continuous bijection from compact to Hausdorff  $\Rightarrow$  homeomorphism

(or: 4.28)

(or: injection)

(or: embedding)

$f: X \rightarrow Y$  continuous bijective }  $\Rightarrow f$  is a homeomorphism  
 $X = \text{compact}$ ,  $Y = \text{Hausdorff}$

Theorem: (1)

(2)

Reminder:  $X = \text{compact}$  if

-2-

( $\forall$ ) family  $\mathcal{U} = \{U_i : i \in I\}$  of opens in  $X$  s.t.  $X = \bigcup_{i \in I} U_i$

( $\exists$ )  $i_1, \dots, i_k \in I$  such that

$$X = U_{i_1} \cup U_{i_2} \cup \dots \cup U_{i_k}$$

Rk: for  $A \subseteq X$  with induced topology, compactness of  $A \Leftrightarrow$

( $\forall$ ) \_\_\_\_\_ " \_\_\_\_\_

$$A \subseteq \bigcup_{i \in I} U_i$$

( $\exists$ ) \_\_\_\_\_ " \_\_\_\_\_

$$A \subseteq U_{i_1} \cup U_{i_2} \cup \dots \cup U_{i_k}$$

Def: A space  $X$  is called SEQUENTIALLY COMPACT if:

( $\forall$ )  $(x_n)_{n \geq 1}$  a sequence in  $X$

( $\exists$ ) Subsequence  $x_{n_1}, x_{n_2}, x_{n_3}, \dots$  such that  $(x_{n_k})_{k \geq 1}$  is convergent (in  $X$ )  
(hence  $n_1 < n_2 < n_3 < \dots$ )

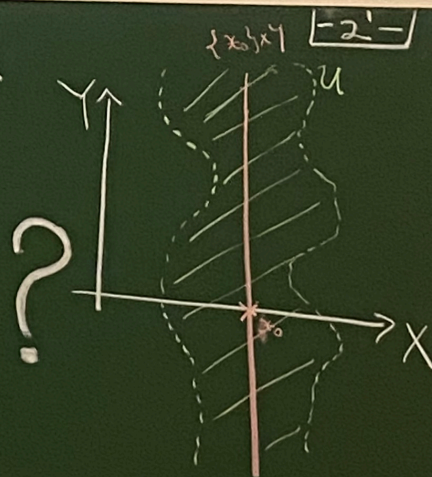
Lemma (the tube lemma):  $X, Y$ -spaces,  $x_0 \in X$

$U \subseteq X \times Y$  open s.t.  $\{x_0\} \times Y \subseteq U$

Can one find

$W =$  open neighbd. such that  
of  $x_0$  in  $X$

Lemma says: yes if



Reminder:  $X = \text{compact}$  if <sup>some indexing set</sup>

-2-

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( $\exists$ ) \_\_\_\_\_ " \_\_\_\_\_

$$A \subseteq U_{i_1} \cup U_{i_2} \cup \dots \cup U_{i_k}$$

Def: A space  $X$  is called SEQUENTIALLY COMPACT if:

( $\forall$ )  $(x_n)_{n \geq 1}$  a sequence in  $X$  ( $x_n \in X$  ( $\forall$ )  $n$ )

( $\exists$ ) subsequence  $x_{n_1}, x_{n_2}, x_{n_3}, \dots$  such that  $(x_{n_k})_{k \geq 1}$  is convergent (in  $X$ )  
(hence  $n_1 < n_2 < n_3 < \dots$ )

Ex:  $X = \mathbb{R}$  not:  $x_n = n$

$X = [0, 1)$  not:  $x_n = 1 - \frac{1}{n}$

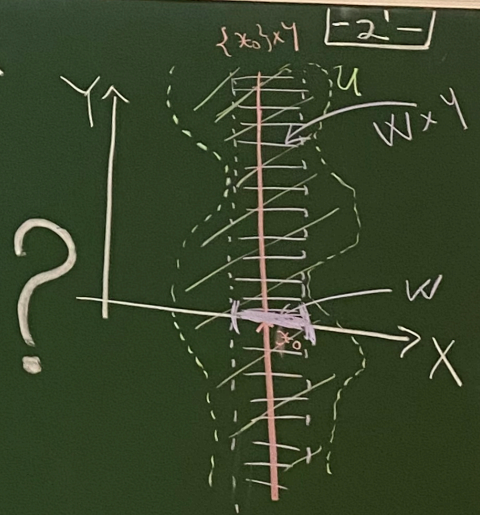
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Lemma says: yes if  $Y = \text{compact}$



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 $(X, \mathcal{T}) = \text{cpt space}, A \text{ -closed in } X \Rightarrow A \text{ (with induced topology) is compact}$

Prop 4.19: Compact inside Hausdorff is closed:  
 $(X, \mathcal{T}) = \text{Hausdorff space}, A \subseteq X, A \text{ -cpt} \Rightarrow A \text{ is closed in } X$

Prop 4.20: In Hausdorff spaces, disjoint compacts can be separated topologically:  
 $(X, \mathcal{T}) = \text{Hausd}, A, B \subseteq X \left. \begin{array}{l} A \cap B = \emptyset, A, B \text{ -cpt} \end{array} \right\} \Rightarrow \exists \text{ opens } U, V \subseteq X \text{ s.t. } \begin{cases} A \subseteq U \\ B \subseteq V \\ U \cap V = \emptyset \end{cases}$

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Theorem 4.27: Continuous bijection from compact to Hausdorff  $\Rightarrow$  homeomorphism  
(or. 4.28)
(or. injection)
(or. embedding)  
 $f: X \rightarrow Y \text{ continuous bijective } \left. \begin{array}{l} X = \text{compact}, Y = \text{Hausdorff} \end{array} \right\} \Rightarrow f \text{ is a homeomorphism}$

Theorem: (1) If  $X = 1^{\text{st}}$  countable:  $(X = \text{cpt}) \Leftrightarrow (X = \text{sequentially compact})$   
 (2) If  $X = \text{metric space}$ , then " $\Leftrightarrow$ " holds.

proof of the Tube Lemma:  
 We know  $\mathcal{U} \subseteq X \times Y$  open in  $X \times Y$  with the product topology.  
 (1)  $(x_0, y_0) \in \mathcal{U} \Rightarrow \exists W \in \mathcal{J}_X(x_0)$  s.t.  $W \times V \subseteq \mathcal{U}$   
 $\dots \dots \dots \forall V \in \mathcal{J}_Y(y_0)$   
 (2)  $\exists \delta \times Y \subseteq \mathcal{U}$  i.e.  $(x_0, y) \in \mathcal{U}$  for all  $y \in Y$   
 Hence, for all  $y \in Y$ , we find open neighborhoods  $W_y \in \mathcal{J}_X(x_0)$   
 such that  $W_y \times V_y \subseteq \mathcal{U}$   $\{V_y \in \mathcal{J}_Y(y)\}$   $W = \bigcap_{y \in Y} W_y$   
 Also know:  $Y = \text{compact}$   
 Notice that  $\{V_y \mid y \in Y\}$  is an open cover of  $Y$   
 $\Rightarrow \exists y_1, \dots, y_n \in Y$  s.t.  $Y = N_{y_1} \cup V_{y_2} \cup \dots \cup V_{y_n}$   
 Remark:  $W = W_{y_1} \cap \dots \cap W_{y_n}$  does the job!  
 Indeed, for  $(x, y) \in W \times Y$  since  $y \in Y = V_{y_1} \cup \dots \cup V_{y_n} \Rightarrow$   
 $\Rightarrow \exists i$  s.t.  $y \in V_{y_i}$ . But  $W \subseteq W_{y_1} \cap \dots \cap W_{y_n} \Rightarrow W \subseteq W_{y_i}$ . Hence  $(x, y) \in W_{y_i} \times V_{y_i} \subseteq \mathcal{U}$   $\square$

Proof of Thm 4.23: To prove  $X \times Y = \text{cpd}$  start with an arbitrary open cover  
 $X \times Y = \bigcup_{i \in I} U_i$   $U_i \subseteq X \times Y$  open.  
 For each  $x \in X$ , look at  $\{x\} \times Y$  - this is compact (homeomorphic to  $Y$ )  
 $\{x\} \times Y \subseteq X \times Y = \bigcup_{i \in I} U_i \Rightarrow$   
 $\Rightarrow$  Find  $I_x \subseteq I$  finite subset s.t.  $\{x\} \times Y \subseteq \bigcup_{j \in I_x} U_j$   
 Use the tube lemma  $\Rightarrow$   
 $\Rightarrow \{W_x \in \mathcal{J}_X(x)\}$  s.t.  $W_x \times Y \subseteq \bigcup_{j \in I_x} U_j$   $I_x$  - finite  
 Now  $\{W_x \mid x \in X\}$  open cover of  $X \Rightarrow \exists x_1, \dots, x_n \in X$  s.t.  $X = W_{x_1} \cup \dots \cup W_{x_n}$   
 Finally, we have  
 $X \times Y = (W_{x_1} \cup \dots \cup W_{x_n}) \times Y \subseteq \bigcup_{p=1}^n \bigcup_{j \in I_{x_p}} U_j$   $\square$

Reminder:  $X = \mathbb{R}$   
 (1) family  $\mathcal{U} =$   
 (2) i.e.,  $\mathcal{U} \in \mathcal{I}$   
 (3) for  $A \subseteq X$  with  
 (4)  $\dots$   
 (5)  $\dots$   
 Def: A space  $X$   
 (1)  $(x, y)$   
 (2) Subst  
 Ex:  $X = \mathbb{R}$  no  
 $X = [0, 1]$

Lemma (the tube)  
 $U \subseteq X \times Y$   
 Carry one fin  
 $W = \dots$   
 Lemma says

proof of the Tube Lemma: with the prod topologs  
i.e.:

We know ①  $U \subseteq X \times Y$  open in  $X \times Y$

$$\textcircled{1} \quad (\forall) (a, b) \in U \exists W \in \mathcal{J}_X(a) \text{ s.t. } W \times V \subseteq U, \\ V \in \mathcal{J}_Y(b)$$

$$\textcircled{2} \quad \{x_0\} \times Y \subseteq U \text{ i.e. } (x_0, y) \in U \text{ for all } y \in Y$$

Hence, for all  $y \in Y$ : we find open neighbors

$$\text{such that } W_y \times V_y \subseteq U$$

$$W_y \in \mathcal{J}(x_0)$$

$$V_y \in \mathcal{J}(y)$$

$$W = \bigcap_{y \in Y} W_y$$

Also know:  $Y = \text{compact}$

Notice that  $\{V_y : y \in Y\}$  is an open cover of  $Y$

$$\Rightarrow \exists y_1, \dots, y_k \in Y \text{ s.t. } Y = V_{y_1} \cup V_{y_2} \cup \dots \cup V_{y_k}$$

Remark:  $W = W_{y_1} \cap \dots \cap W_{y_k}$  does the job!

Indeed: for  $(w, y) \in W \times Y$  since  $y \in Y = V_{y_1} \cup \dots \cup V_{y_k} \Rightarrow$

$\Rightarrow \exists i \text{ s.t. } y \in V_{y_i}$ . But  $w \in W = W_{y_1} \cap \dots \cap W_{y_k} \Rightarrow w \in W_{y_i}$ . Hence  $(w, y) \in W_{y_i} \times V_{y_i} \subseteq U \quad \square$

Proof of T

For each

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Proof of Thm 4.23: To prove  $X \times Y = \text{cpd}$ : start with an arbitrary open cover  $X \times Y = \bigcup_{i \in I} U_i$   $U_i \subseteq X \times Y$  open.

For each  $x \in X$ , look at  $\{x\} \times Y$  - this is compact (homeomorphic to  $Y$ )  
 $\{x\} \times Y \subseteq X \times Y = \bigcup_{i \in I} U_i \Rightarrow$

$\Rightarrow$  Find  $I_x \subseteq I$  finite subset s.t.  $\{x\} \times Y \subseteq \bigcup_{j \in I_x} U_j$   
 Use the tube lemma  $\rightarrow$

$\Rightarrow \{W_x \in \mathcal{T}_X(x)\}$  s.t.  $W_x \times Y \subseteq \bigcup_{j \in I_x} U_j$  I<sub>x</sub>-finite

Now  $\{W_x : x \in X\}$  open cover of  $X \Rightarrow \exists x_1, \dots, x_\ell$  s.t.  $X = W_{x_1} \cup \dots \cup W_{x_\ell}$

Finally, we have

$$X \times Y = (W_{x_1} \cup \dots \cup W_{x_\ell}) \times Y \subseteq \bigcup_{p=1}^{\ell} \bigcup_{j \in I_{x_p}} U_j \quad \square$$

$$V_{y_i} \times V_{y_i} \subseteq \mathcal{U} \quad \square$$

proof of the Tube Lemma:  
 We know  $\mathcal{U} \subseteq X \times Y$  open in  $X \times Y$  with the prod topology i.e.:

①  $(\forall (a,b) \in \mathcal{U} \exists W \in \mathcal{J}_X(a) \text{ s.t. } W \times V \subseteq \mathcal{U}$   
 $V \in \mathcal{J}_Y(b)$

②  $\{x_0\} \times Y \subseteq \mathcal{U}$  i.e.  $(x_0, y) \in \mathcal{U}$  for all  $y \in Y$ .

Hence, for all  $y \in Y$ : we find open neighbds  $W_y \in \mathcal{J}(x_0)$   
 such that  $W_y \times V_y \subseteq \mathcal{U}$   $V_y \in \mathcal{J}(y)$

Also know:  $Y = \text{compact}$ .  
 Notice that  $\{V_y : y \in Y\}$  is an open cover of  $Y$ .  
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 Indeed: for  $(x, y) \in W \times Y$  since  $y \in Y = V_{y_1} \cup \dots \cup V_{y_k} \Rightarrow$   
 $\exists i$  s.t.  $y \in V_{y_i}$ . But  $W \in W_{y_i} \cap \dots \cap W_{y_k} \Rightarrow W \times V_{y_i} \subseteq \mathcal{U}$  Hence  $(x, y) \in W \times V_{y_i} \subseteq \mathcal{U}$   $\square$

Proof of Thm 4.23: To prove  $X \times Y = \text{cpt}$ : start with an arbitrary open cover  $\mathcal{U}$

$X \times Y = \bigcup_{i \in I} U_i$   $U_i \subseteq X \times Y$  open.

For each  $x \in X$ , look at  $\{x\} \times Y$  - this is compact (homeomorphic to  $Y$ )  
 $\{x\} \times Y \subseteq X \times Y = \bigcup_{i \in I} U_i \Rightarrow$   
 $\Rightarrow$  Find  $I_x \subseteq I$  finite subset s.t.  $\{x\} \times Y \subseteq \bigcup_{j \in I_x} U_j$

Use the tube lemma  $\Rightarrow$   
 $\Rightarrow W_x \in \mathcal{J}(x)$  s.t.  $W_x \times Y \subseteq \bigcup_{j \in I_x} U_j$   $I_x$  - finite

Now  $\{W_x : x \in X\}$  open cover of  $X \Rightarrow \exists x_1, \dots, x_\ell$  s.t.  $X = W_{x_1} \cup \dots \cup W_{x_\ell}$

Finally, we have  
 $X \times Y = (W_{x_1} \cup \dots \cup W_{x_\ell}) \times Y \subseteq \bigcup_{p=1}^{\ell} \bigcup_{j \in I_{x_p}} U_j$   $\square$

proof of part (i) of Theorem:  
 Hence: assume  $X = 1^{\text{st}}$  countable & compact  
 To prove:  $X = \text{sequentially compact}$ .

Hence: let  $(x_n)_{n \in \mathbb{N}}$  be an arbitrary sequence in  $X$   
 To prove:  $\exists$  subsequence of  $(x_n)_{n \in \mathbb{N}}$  convergent in  $X$ .

Step 1: We claim  $\exists x \in X$  s.t.  $(\forall V \in \mathcal{J}(x))$   
 contains infinitely many elements of the sequence

$\#$ : By contrad: assume  $\#$  as above  
 $\Rightarrow (\exists x \in X) \exists V_x \in \mathcal{J}(x)$  which only contains a finite number of the terms

Now  $\{V_x : x \in X\} = \text{open cover of } X \Rightarrow \exists z_1, \dots, z_k \in X$  s.t.  
 $X = V_{z_1} \cup \dots \cup V_{z_k}$  impossible  $x_1, x_2, x_3, \dots$

With  $x$  from step 1, choose a countable basis of neighbds of  $x$   
 $\Rightarrow B_1 \supset B_2 \supset B_3 \supset \dots \in \mathcal{J}(x)$

$\exists n_1 \in \mathbb{N}$  s.t.  $x_{n_1} \in B_1$   
 $\exists n_2 > n_1$  s.t.  $x_{n_2} \in B_2$   
 $\exists n_3 > n_2$  s.t.  $x_{n_3} \in B_3$

Hence we found a conv. subsequence.  
 $I_2 = \{i : x_i \in V_x\}$  is finite.

Assume:  $X = \bigcup_{i \in I} U_i$   $U_i \subseteq X$  opens

Step 1:  $(\forall \delta > 0) \exists x_1, \dots, x_k \in X$  s.t.  $X = B(x_1, \delta) \cup \dots \cup B(x_k, \delta)$

Step 2:  $\exists \delta > 0$  s.t.  $(\forall x \in X, B(x, \delta) \subseteq U_i$  for some  $i \in I$

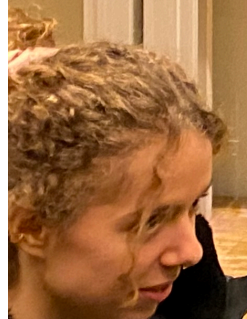
pf of Step 1: By contrad  $\Rightarrow \exists \delta > 0$  s.t.  $X$  is not a finite union of  $\delta$ -balls.

Start with  $x_1 \in X$   
 Choose  $x_2 \in X \setminus B(x_1, \delta)$   
 $x_3 \in X \setminus (B(x_1, \delta) \cup B(x_2, \delta))$   
 $x_4 \in X \setminus (B(x_1, \delta) \cup B(x_2, \delta) \cup B(x_3, \delta))$   
 etc  $\Rightarrow$  sequence  $(x_n)_{n \in \mathbb{N}}$  with  $d(x_i, x_j) \geq \delta$

By hypothns  $\Rightarrow$  we find a subsequence  $x_{n_1}, x_{n_2}, \dots \rightarrow x \in X$ . In part:  $\exists \epsilon_0$  s.t.  
 $d(x_{n_1}, x) \leq \frac{\epsilon_0}{2}$   $(\forall) \epsilon > \epsilon_0 \Rightarrow d(x_{n_1}, x_{n_2}) < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon$

proof of Part 2 of Thm:  
 Hence, still to prove  
 if  $(X, d)$  metric space  $X = \text{Seq. compact}$  to prove  $X = \text{cpt}$ .

Step 2: similarly by contradiction





Theorem 4.23: Product of two compacts is compact.  $UNV = \emptyset$

Def 4.49:  $X = \text{space}$ . A one-point compactification of  $X$  is a Hausdorff compact space  $\tilde{X}$  together with an embedding  $i: X \rightarrow \tilde{X}$  s.t.  $\tilde{X} \setminus i(X)$  is just a point.

Q: Given  $X$ : can one find  $\tilde{X}$ ? How many?   
 unique!!

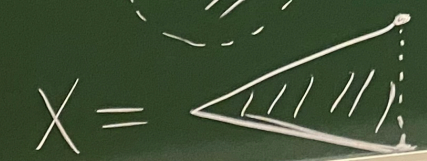
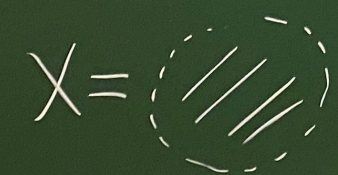
How to find  $\tilde{X}$

Ex:  $X = [0, 1)$       $\tilde{X} = [0, 1]$

$X = (0, 1)$       $\tilde{X} = S^1$       $i: (0, 1) \rightarrow S^1$   
 $i(t) = (\cos 2\pi t, \sin 2\pi t)$

$X = (0, \frac{1}{2}) \cup (\frac{1}{2}, 1)$       $S^1 \setminus i((0, 1)) = \{(1, 0)\}$

- $\infty$  → Local compactness
- $S^2$  → Stone-Weierstrass
- Gelfand-Naimark
- Finite partitions of unity



Prop 4.19: Compact inside Hausdorff is closed.

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How to find  $\tilde{X}$

Ex:  $X = [0, 1)$

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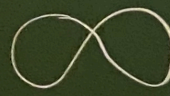
$X = (0, 1)$

$\tilde{X} = S^1$

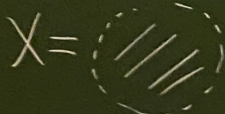
$i: (0, 1) \rightarrow S^1$

$i(t) = (\cos 2\pi t, \sin 2\pi t)$

$X = (0, \frac{1}{2}) \cup (\frac{1}{2}, 1)$



$S^1 \setminus i((0, 1)) = \{(1, 0)\}$



$S^2$

→ Local compactness

→ Stone-Weierstrass

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