

Aarnout van Delden <http://www.staff.science.uu.nl/~delde102/C&HC.htm>

## Diabatic-Dynamical Interaction in the General Circulation (lecture 8)

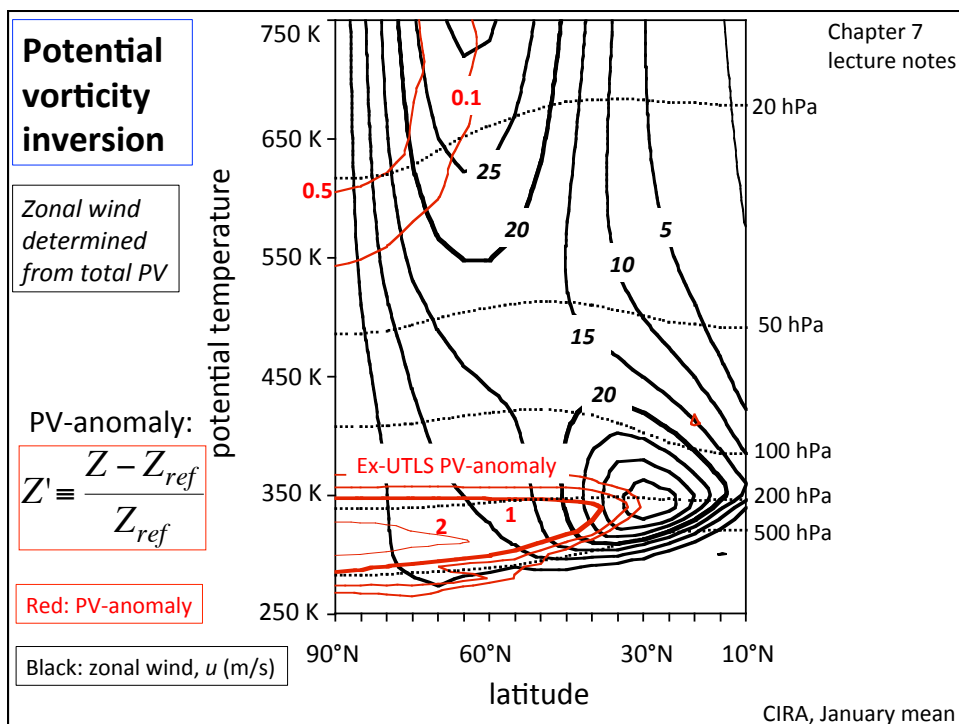
**Recapitulation:** Relation PV and wind (PV-inversion)

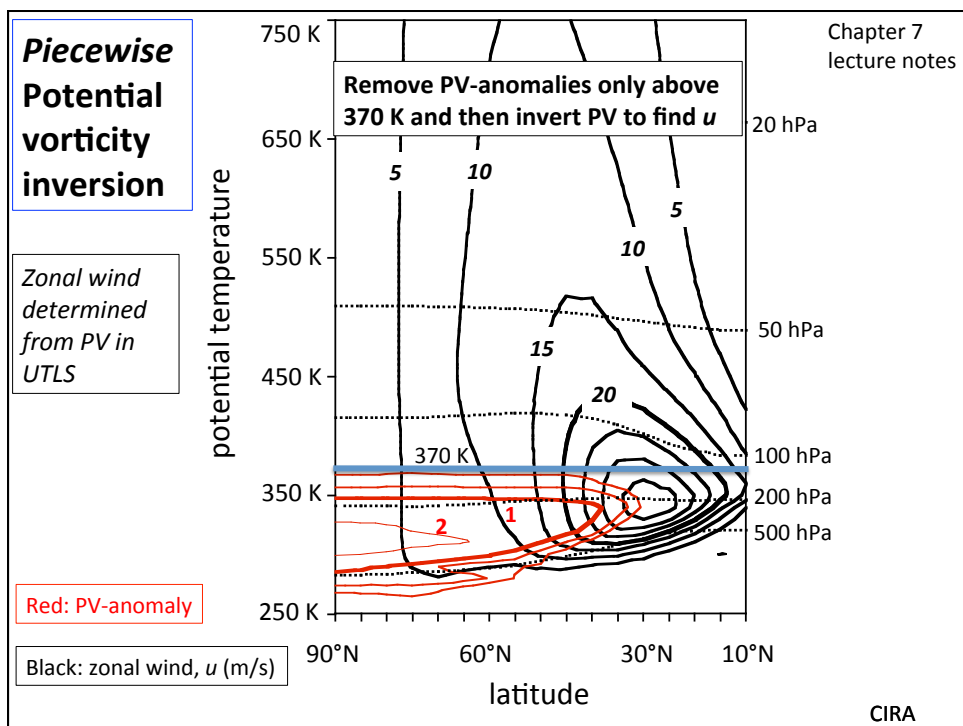
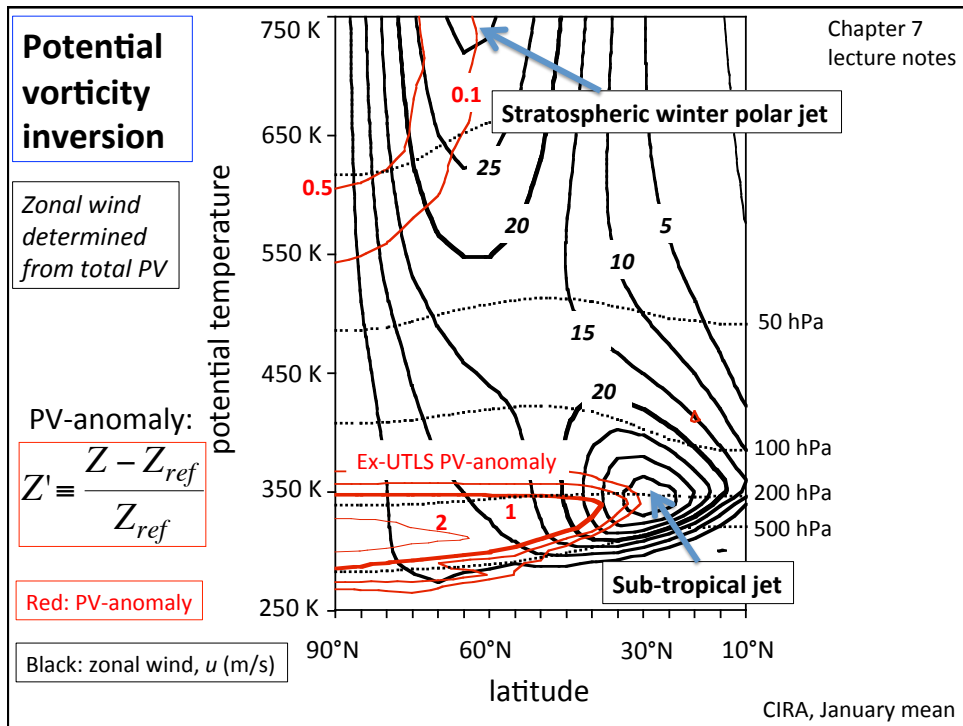
Vorticity equation in isentropic coordinates

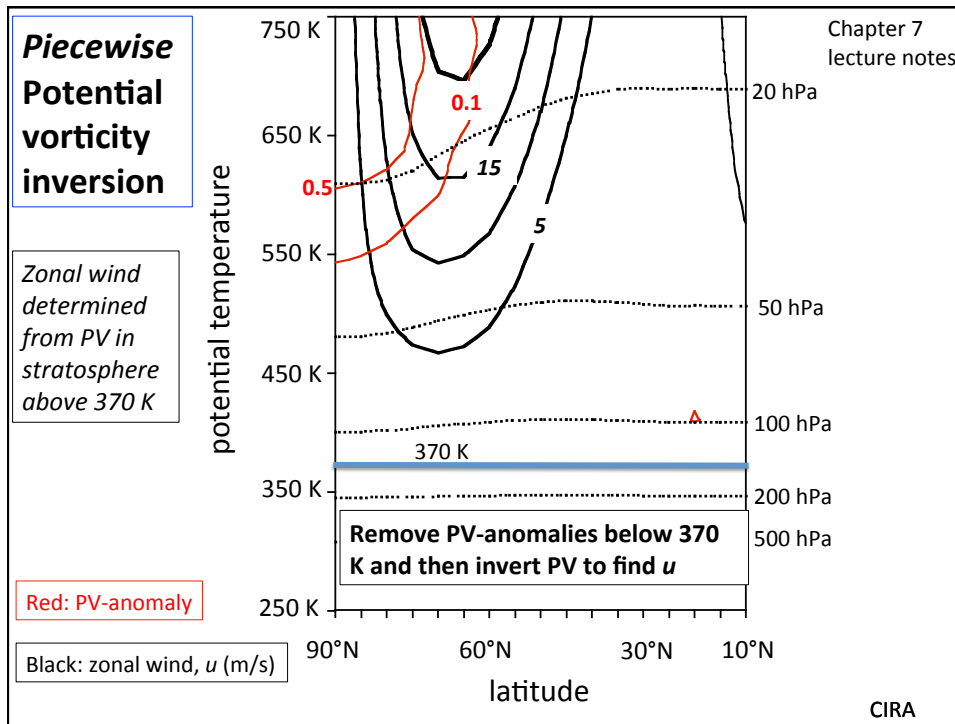
Potential vorticity substance (PVS)

Impermeability theorem for PVS

Can we understand the formation of PV-anomalies?  
(in particular the ex-UTLS PV anomaly)







Section 7.3

## Equations with potential temperature as a vertical coordinate

**Mass conservation equation:**

$$\frac{1}{\sigma} \frac{d\sigma}{dt} + \left(\frac{\partial u}{\partial x}\right)_\theta + \left(\frac{\partial v}{\partial y}\right)_\theta + \frac{\partial}{\partial \theta} \left(\frac{d\theta}{dt}\right) = 0$$

**Hydrostatic balance:**

$$\frac{\partial \Psi}{\partial \theta} = \Pi \quad (\text{problem 5.4})$$

**Equation of motion:**

$$\frac{\partial u}{\partial t} + u \left(\frac{\partial u}{\partial x}\right)_\theta + v \left(\frac{\partial u}{\partial y}\right)_\theta + \frac{d\theta}{dt} \frac{\partial u}{\partial \theta} = - \left(\frac{\partial \Psi}{\partial x}\right)_\theta + fv + F_x$$

$$\frac{\partial v}{\partial t} + u \left(\frac{\partial v}{\partial x}\right)_\theta + v \left(\frac{\partial v}{\partial y}\right)_\theta + \frac{d\theta}{dt} \frac{\partial v}{\partial \theta} = - \left(\frac{\partial \Psi}{\partial y}\right)_\theta - fu + F_y$$

**“density”:**

$$\sigma = - \frac{1}{g} \frac{\partial p}{\partial \theta}$$

**“vertical motion”:**

$$\frac{d\theta}{dt} = \frac{J}{\Pi}$$

If  $d\theta/dt=0$ : motion is “two-dimensional”!

Section 7.4

## Vorticity equation

Isentropic relative vorticity:

$$\zeta_{\theta} = \left[ \frac{\partial v}{\partial x} \right]_{\theta} - \left[ \frac{\partial u}{\partial y} \right]_{\theta}$$

$$\frac{\partial u}{\partial t} + u \left( \frac{\partial u}{\partial x} \right)_{\theta} + v \left( \frac{\partial u}{\partial y} \right)_{\theta} + \frac{d\theta}{dt} \frac{\partial u}{\partial \theta} = - \left( \frac{\partial \Psi}{\partial x} \right)_{\theta} + fv + F_x$$

$$\frac{\partial v}{\partial t} + u \left( \frac{\partial v}{\partial x} \right)_{\theta} + v \left( \frac{\partial v}{\partial y} \right)_{\theta} + \frac{d\theta}{dt} \frac{\partial v}{\partial \theta} = - \left( \frac{\partial \Psi}{\partial y} \right)_{\theta} - fu + F_y$$

Vorticity equation:

$$\frac{d}{dt} (\zeta_{\theta} + f) = -(\zeta_{\theta} + f) \delta_{\theta} + \frac{\partial u}{\partial \theta} \frac{\partial}{\partial y} \left( \frac{d\theta}{dt} \right) - \frac{\partial v}{\partial \theta} \frac{\partial}{\partial x} \left( \frac{d\theta}{dt} \right) + \frac{\partial F_x}{\partial y} - \frac{\partial F_y}{\partial x}$$

Stretching term

divergence:

$$\delta_{\theta} = \left[ \frac{\partial u}{\partial x} \right]_{\theta} + \left[ \frac{\partial v}{\partial y} \right]_{\theta}$$

## Vorticity equation

Drop subscript,  $\theta$ :

$$\frac{d}{dt} (\zeta + f) = -(\zeta + f) \delta + \frac{\partial u}{\partial \theta} \frac{\partial \theta}{\partial y} - \frac{\partial v}{\partial \theta} \frac{\partial \theta}{\partial x} + \frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y}$$

$$\zeta_{abs} \equiv \zeta + f = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} + f, \quad \delta \equiv \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \quad \text{and} \quad \theta \equiv \frac{d\theta}{dt}$$

$$\frac{\partial \zeta_{abs}}{\partial t} =$$

$$-u \frac{\partial \zeta_{abs}}{\partial x} - v \frac{\partial \zeta_{abs}}{\partial y} - \theta \frac{\partial \zeta_{abs}}{\partial \theta} - \zeta_{abs} \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) + \frac{\partial u}{\partial \theta} \frac{\partial \theta}{\partial y} - \frac{\partial v}{\partial \theta} \frac{\partial \theta}{\partial x} + \frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y}$$

$$\frac{\partial \zeta_{abs}}{\partial t} = - \frac{\partial u \zeta_{abs}}{\partial x} - \frac{\partial v \zeta_{abs}}{\partial y} - \theta \frac{\partial}{\partial \theta} \left( \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \right) + \frac{\partial u}{\partial \theta} \frac{\partial \theta}{\partial y} - \frac{\partial v}{\partial \theta} \frac{\partial \theta}{\partial x} + \frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y}$$

## Vorticity equation

$$\frac{\partial \zeta_{abs}}{\partial t} = -\frac{\partial u \zeta_{abs}}{\partial x} - \frac{\partial v \zeta_{abs}}{\partial y} - \theta \frac{\partial}{\partial \theta} \left( \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \right) + \frac{\partial u}{\partial \theta} \frac{\partial \theta}{\partial y} - \frac{\partial v}{\partial \theta} \frac{\partial \theta}{\partial x} + \frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y}$$

$$\frac{\partial \zeta_{abs}}{\partial t} = -\vec{\nabla} \cdot \vec{J}$$

$$\vec{\nabla} \equiv \left\{ \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial \theta} \right\}$$

$$\vec{J} \equiv \left( u \zeta_{abs} + \theta \frac{\partial v}{\partial \theta} - F_y, v \zeta_{abs} - \theta \frac{\partial u}{\partial \theta} + F_x, 0 \right)$$

## Vorticity equation

$$\frac{\partial \zeta_{abs}}{\partial t} = -\frac{\partial u \zeta_{abs}}{\partial x} - \frac{\partial v \zeta_{abs}}{\partial y} - \theta \frac{\partial}{\partial \theta} \left( \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \right) + \frac{\partial u}{\partial \theta} \frac{\partial \theta}{\partial y} - \frac{\partial v}{\partial \theta} \frac{\partial \theta}{\partial x} + \frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y}$$

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vertical component = 0 !

## Vorticity equation

$$\frac{\partial \zeta_{abs}}{\partial t} = -\vec{\nabla} \cdot \vec{J}$$

$$\vec{\nabla} \equiv \left\{ \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial \theta} \right\}$$

$$\vec{J} \equiv \left( u\zeta_{abs} + \theta \frac{\partial v}{\partial \theta} - F_y, v\zeta_{abs} - \theta \frac{\partial u}{\partial \theta} + F_x, 0 \right)$$

**Divergence theorem:**  $\int_A \vec{J} \cdot \hat{n} dA = \int_V \vec{\nabla} \cdot \vec{J} dV$

Area,  $A$ , encloses volume,  $V$  in  $(x, y, \theta)$  space

$$\frac{\partial}{\partial t} \left\{ \int_V \zeta_{abs} dV \right\} = - \int_A \vec{J} \cdot \hat{n} dA$$

## Potential Vorticity Substance (PVS)

**Potential vorticity substance in volume  $V$ :**

$$PVS(V) \equiv \left\{ \int_V \zeta_{abs} dV \right\} = \left\{ \int_V \sigma Z dV \right\}$$

## Impermeability theorem for PVS

Potential vorticity substance in volume V:

$$PVS(V) \equiv \left\{ \int_V \xi_{abs} dV \right\} = \left\{ \int_V \sigma Z dV \right\}$$

$$\frac{dPVS(V)}{dt} = - \int_A \vec{J} \cdot \hat{n} dA$$

$$\vec{J} \equiv \left( u \xi_{abs} + \theta \frac{\partial v}{\partial \theta} - F_y, v \xi_{abs} - \theta \frac{\partial u}{\partial \theta} + F_x, 0 \right)$$

**PVS cannot cross isentropic surfaces!!**

Last week:

## Mass conservation equation

$$\frac{\partial \sigma}{\partial t} = - \left( \frac{\partial \sigma u}{\partial x} \right)_\theta - \left( \frac{\partial \sigma v}{\partial y} \right)_\theta - \frac{\partial}{\partial \theta} \left( \sigma \frac{d\theta}{dt} \right) = - \vec{\nabla} \cdot \vec{I}$$

Mass flux vector:  $\vec{I} \equiv (\sigma u, \sigma v, \sigma \dot{\theta})$

Vertical diabatic component due to cross-isentropic flow

Equation in short:  $\frac{\partial \sigma}{\partial t} = - \vec{\nabla} \cdot \vec{I}$

Mass in volume V:  $M(V) \equiv \left\{ \int_V \sigma dV \right\}$

With the divergence theorem:  $\frac{dM(V)}{dt} = - \int_A \vec{I} \cdot \hat{n} dA$

## Overview

$$\frac{dPVS(V)}{dt} = - \int_A \vec{J} \cdot \hat{n} dA$$

$$\vec{J} \equiv \left( u \zeta_{abs} + \theta \frac{\partial v}{\partial \theta} - F_y, v \zeta_{abs} - \theta \frac{\partial u}{\partial \theta} + F_x, 0 \right)$$

***PVS cannot cross isentropic surfaces!!***

$$\frac{dM(V)}{dt} = - \int_A \vec{I} \cdot \hat{n} dA$$

$$\vec{I} \equiv (u, v, \dot{\theta}) \sigma$$

***Mass can cross isentropic surfaces!!***

## Overview of consequences

***PVS cannot cross isentropic surfaces!!***

***Mass can cross isentropic surfaces!!***

Diabatic mass convergence into an isentropic layer dilutes Potential Vorticity Substance (PVS), i.e. the "mixing ratio of potential vorticity substance" (=PV)\* decreases

This effect may create a ***potential vorticity anomaly***

This happens in the Middleworld, or *upper troposphere and lower stratosphere (UTLS)*

$$* Z \equiv PV = \frac{PVS}{\sigma}$$



Diabatic mass flux convergence per unit horizontal area into the Middleworld layer between  $\theta=315$  K and  $\theta=370$  K

In the tropics PVS is diluted, while in the extratropics PVS is concentrated

**Legend:**

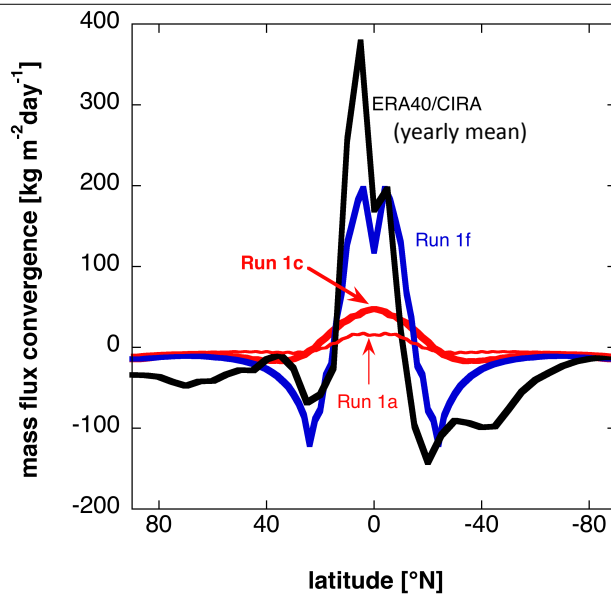
*Permanent equinox runs*

**Run 1a:**  
water: no; wave drag: no

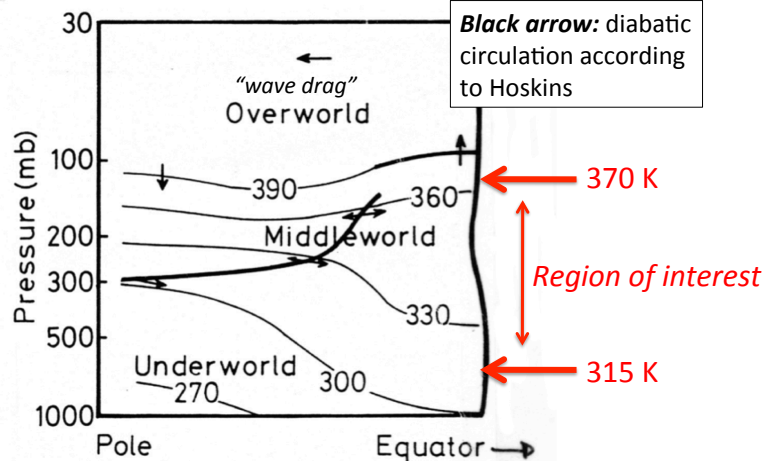
**Run 1c:**  
water: no; wave drag: yes

**Run 1f:**  
water: yes; wave drag: yes

FIGURE 13

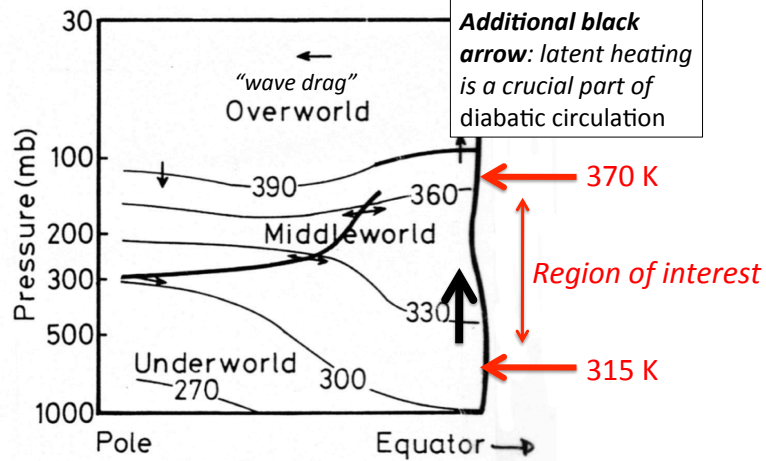


**Schematic view of the atmosphere**



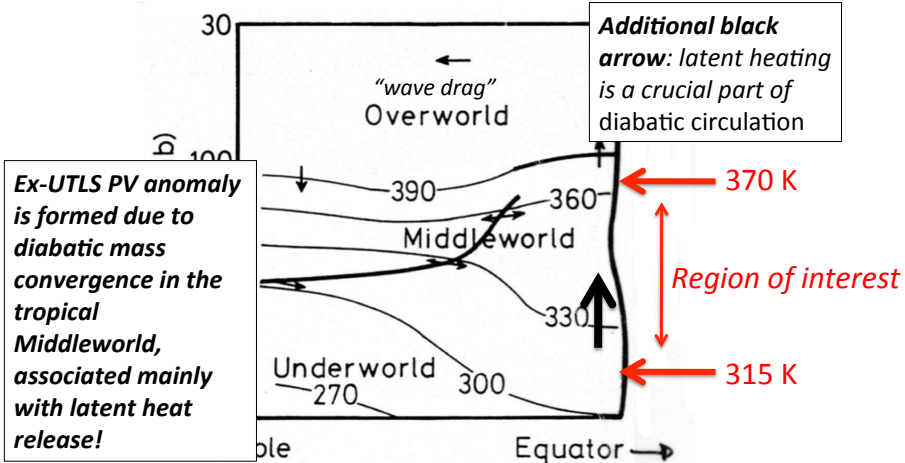
Schematic view of the atmosphere, as a function of latitude and pressure. The tropopause is marked by a thick line, and isentropes every 30 K from 270-390 K by thin lines. The arrows indicate some transports. (from Hoskins, B.J., 1991, *Tellus*, **43AB**, 27-35).

### Schematic view of the atmosphere



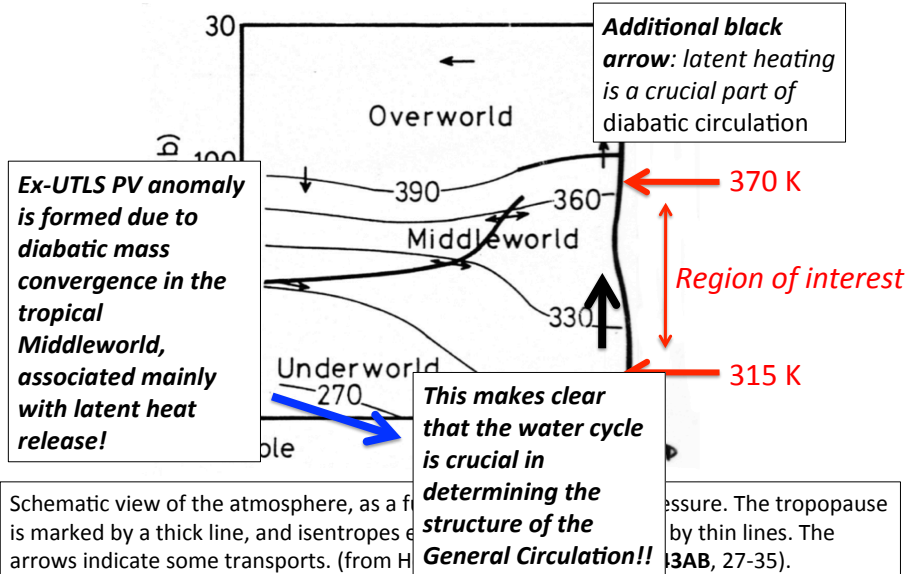
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## Schematic view of the atmosphere



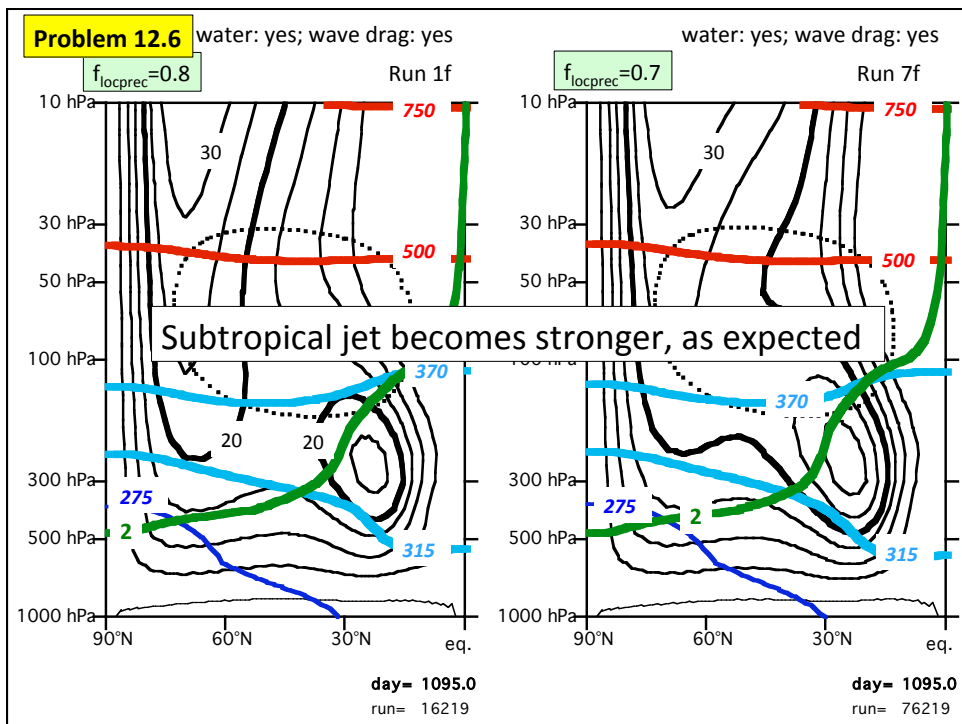
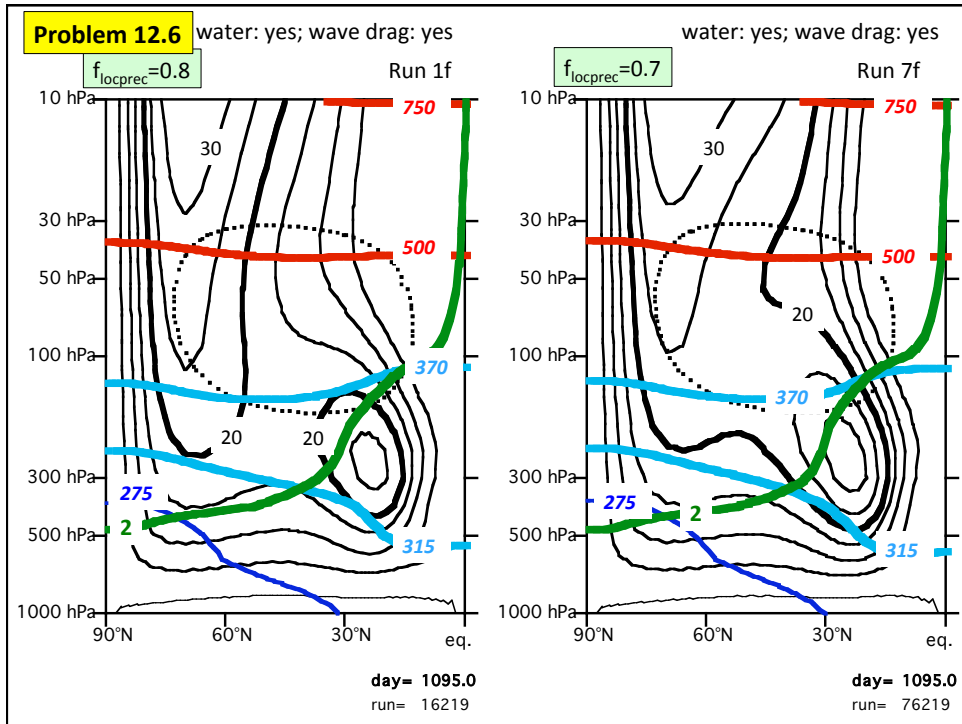
## Problems 12.6-12.8

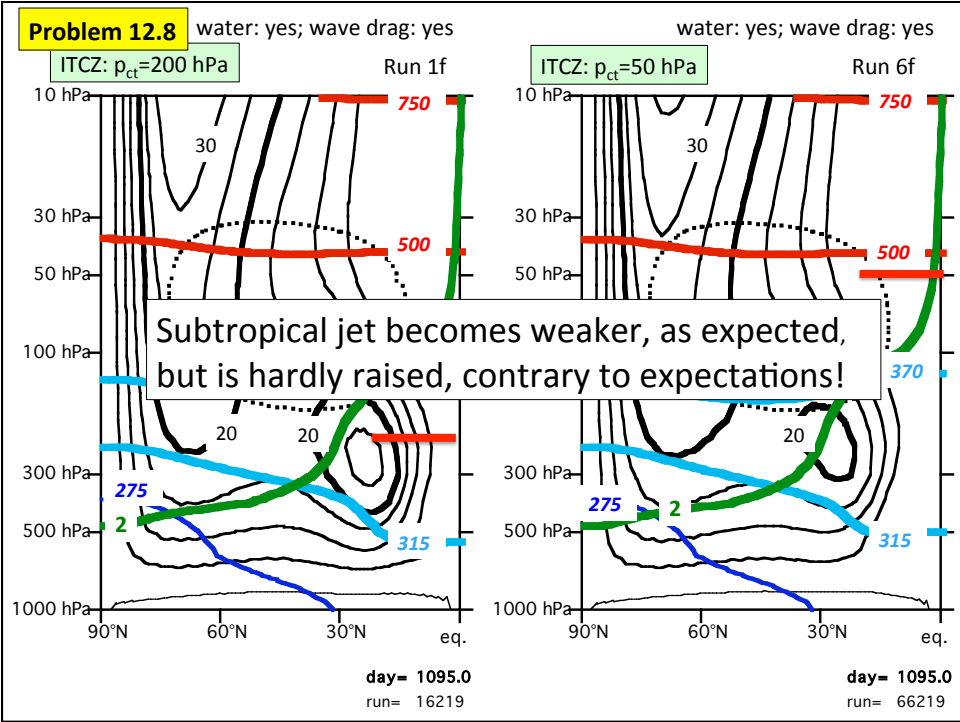
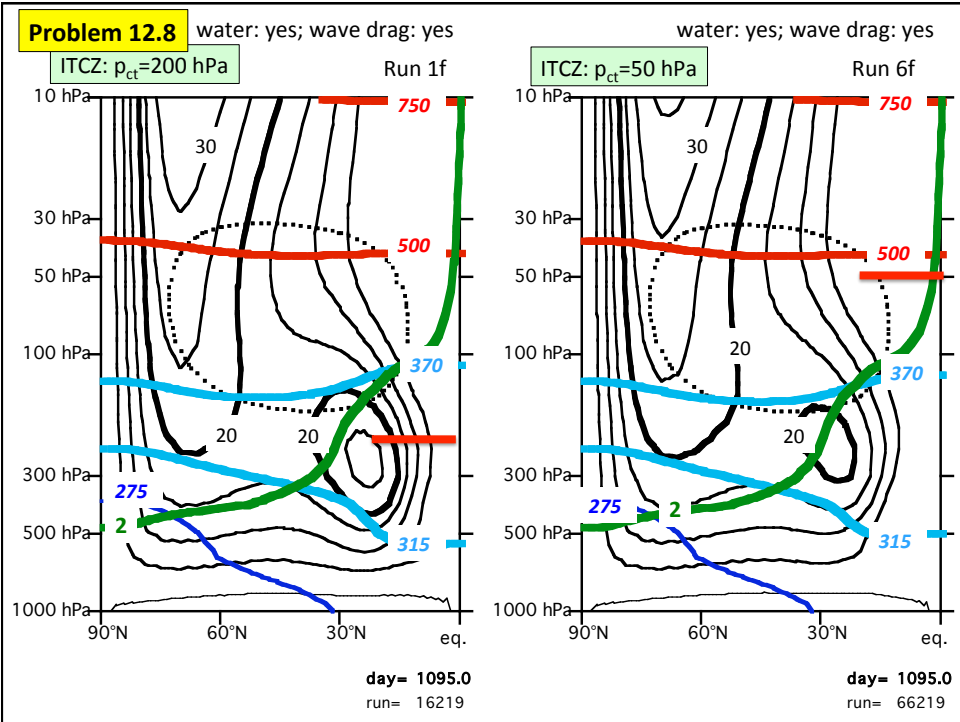
### What if questions

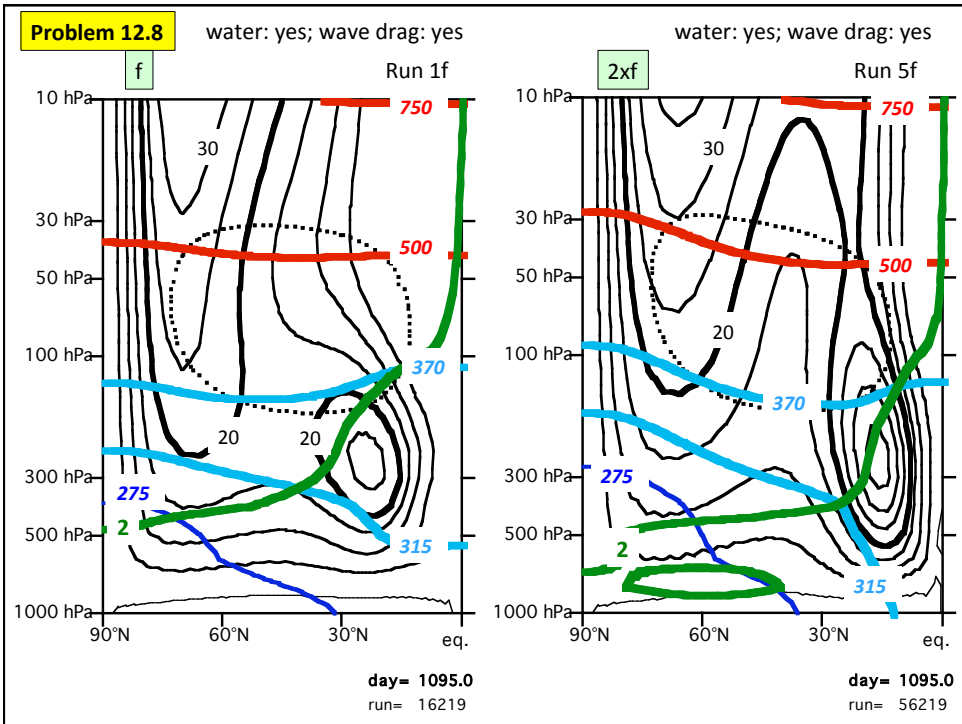
12.6: Changing  $f_{\text{locprec}}$  from 0.8 to 0.7.

12.7: Changing  $p_{ct}$  in the ITCZ from 200 hPa to 50 hPa

12.8: Doubling the earth's rotation rate







## Problem 12.12: Held-Hou model

*Theory of the permanent equinox Hadley circulation based on*

1. Two layer model
2. Conservation of angular momentum in the upper "outflow layer"  $\rightarrow U_M = \frac{\Omega y^2}{a \cos \phi}$
3. Thermal wind balance
4. Lower layer is dominated by friction so that  $u=0$
5. Diabatic heating/cooling is determined only by radiation
6. Latent heat release is neglected
7. Radiation is parametrized as "Newtonian cooling", i.e. as a relaxation process to a prescribed radiative equilibrium temperature (constant in time)
8. The radiative equilibrium temperature is prescribed (rather ad-hoc)
9. Assumes that heating in the updraught is balanced by net cooling in the downdraught
10. Predicted circulation intensity is too weak
11. The main result is the interesting expression for the horizontal scale,  $Y$ , of the Hadley circulation and associated position of the subtropical jet:

$$Y = \left( \frac{5gH\Delta\theta}{3\Omega^2\theta_0} \right)^{1/2} \approx 2200 \text{ km (section 8.2)}$$

so that the maximum strength of the subtropical jet is:  $U_M = \frac{\Omega y^2}{a \cos \phi} \approx 50 - 60 \text{ m/s}$

## End of the course

I hope that you have found the course interesting and useful!

You will receive your grade tomorrow, together with my response to your review

Thank for your reviews and your attention!