

Saving Space by Algebraization

Daniel Lokshtanov and Jesper Nederlof

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Jesper Nederlof



Dynamic Programming (DP)

- From the 1950's by Richard Bellman in his book "Bottleneck Problems and Dynamic Programming"; Nowadays a prominent algorithmic technique in designing algorithms.

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- A relatively easy procedure computes new table entries using already computed table entries.
- This easy procedure is often so easy that we just write it down as a single formula, obtaining a **recurrence**.
- We are interested in conditions that are sufficient for being able to reduce the space requirement of DP algorithms significantly (without significant loss of speed).

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The approach in a nutshell

- Usually the dependency between table entries is highly unpredictable and interleaved.

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- We use a transformation to transform the table into one where the dependency between table entries is very restricted and systematic.

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- The best one can do is keeping track of (almost) the whole table.
- We use a transformation to transform the table into one where the dependency between table entries is very restricted and systematic.
- This allows us to turn DP algorithms of which the recurrence only uses certain operators in space efficient ones.

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Subset Sum

- Given integers $\{e_1, \dots, e_n\}$ and t in binary representation, count the number of subsets $S \subseteq \{1, \dots, n\}$ such that $\sum_{i \in S} e_i = t$.

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- Brute force gives a $\mathcal{O}(2^n)$ time, polynomial space algorithm. DP gives an $\mathcal{O}(nt)$ time and $\mathcal{O}(t)$ space algorithm:

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- Define $A[i, j]$ as the number of subsets $S \subseteq \{1, \dots, i\}$ such that $\sum_{k \in S} e_k = j$. Then



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$$A[i, j] = \begin{cases} 0 & \text{if } i = 1, e_1 \neq j, \text{ and } e_1 \neq 0 \\ 1 & \text{if } i = 1 \text{ and } (e_1 = j \text{ or } j = 0) \\ A[i - 1, j] + A[i - 1, j - e_i] & \text{if } i > 1 \end{cases}$$

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- The answer of the Subset Sum instance can be read from $A[n, t]$.

		Weight →								
		0	1	2	3	4	5	6	7	8
items	1	1	1	0	0	0	0	0	0	0
	2	2	1	1	1	0	0	0	0	0
	3	5	1	1	1	1	0	1	1	1

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		Weight →								
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items ↓	1	1	1	0	0	0	0	0	0	0
	2	2	1	1	1	1	0	0	0	0
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Weight \rightarrow

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items \downarrow

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Weight →

		0	1	2	3	4	5	6	7	8	$t - 6339$	$t - 3175$	t	nt
1	1	1	1	0	0	0	0	0	0	0	0	0	0	0
	2	1	1	1	1	0	0	0	0	0	0	0	0	0
	5	1	1	1	1	0	1	1	1	1	0	0	0	0
items	3156	1	1	1	1	0	1	1	1	1	1	1	0	0
	3164	1	1	1	1	0	1	1	1	1	65	2	0	0
	3175	1	1	1	1	0	1	1	1	1	82	67	2	0
	n													

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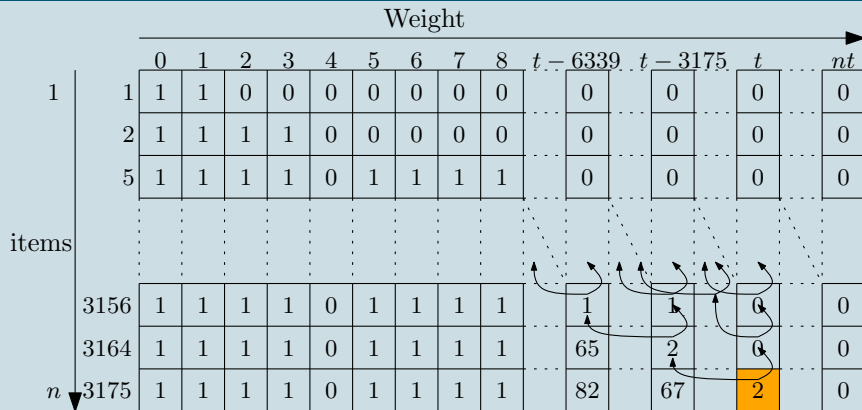
Weight →

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Definition (Convolution operator)

- Define the operator \otimes on column vectors of size N as

$$\mathbf{a} \otimes \mathbf{b} = \left(\sum_{i+j=k} a_i b_j \right)_{0 \leq k < N}^T$$



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Example

$$\begin{pmatrix} 1 \\ 3 \\ 4 \\ 0 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 2 \\ 3 \\ 3 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 2 \\ 9 \\ 20 \\ 21 \\ 12 \end{pmatrix}$$

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$$(1 + 3x + 4x^2)(2 + 3x + 3x^2) = 2 + 9x + 20x^2 + 21x^3 + 12x^4$$

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Convolution

Definition (Pointwise multiplication)

- Let \cdot be the point-wise multiplication of two vectors, that is:

$$\mathbf{a} \cdot \mathbf{b} = \begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_{N-1} \end{pmatrix} \cdot \begin{pmatrix} b_0 \\ b_1 \\ \vdots \\ b_{N-1} \end{pmatrix} = \begin{pmatrix} a_0 b_0 \\ a_1 b_1 \\ \vdots \\ a_{N-1} b_{N-1} \end{pmatrix}$$



Convolution

- Assume we have an invertible matrix \mathbf{T} such that for every \mathbf{a} , \mathbf{b}

$$\mathbf{T}(\mathbf{a} \otimes \mathbf{b}) = \mathbf{T}\mathbf{a} \cdot \mathbf{T}\mathbf{b},$$

- and we want to compute \mathbf{d}_t , where (for example)

$$\mathbf{d} = (\mathbf{a} \otimes \mathbf{b}) \otimes (\mathbf{c} + \mathbf{a}).$$

- Then we know that

$$\begin{aligned} \mathbf{T}\mathbf{d} &= \mathbf{T}((\mathbf{a} \otimes \mathbf{b}) \otimes (\mathbf{c} + \mathbf{a})) \\ &= \mathbf{T}(\mathbf{a} \otimes \mathbf{b}) \cdot \mathbf{T}(\mathbf{c} + \mathbf{a}) \\ &= (\mathbf{T}\mathbf{a} \cdot \mathbf{T}\mathbf{b}) \cdot (\mathbf{T}\mathbf{c} + \mathbf{T}\mathbf{a}) \end{aligned}$$

- And \mathbf{d}_t can be obtained using

$$\mathbf{d}_t = (\mathbf{T}^{-1}\mathbf{T}\mathbf{d})_t = \sum_{i=0}^{N-1} \mathbf{T}_{ti}^{-1} ((\mathbf{T}\mathbf{a})_d (\mathbf{T}\mathbf{b})_d) ((\mathbf{T}\mathbf{c})_d + (\mathbf{T}\mathbf{a})_d)$$

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Discrete Fourier Transform (DFT)

Definition

Let ω be a number s.t. $\omega^N = 1$ and $\omega^i \neq 1$ for $1 < i < N$, then the **discrete Fourier transform** F is defined as:

$$\mathbf{F} = \begin{pmatrix} 1 & 1 & \dots & 1 \\ 1 & \omega & \dots & \omega^{N-1} \\ \vdots & \vdots & \omega^{ij} & \vdots \\ 1 & \omega^{N-1} & \dots & \omega^{(N-1)(N-1)} \end{pmatrix}$$

Lemma

$$\mathbf{F}^{-1} = \frac{1}{N} \left(\omega^{-ij} \right)_{0 \leq i, j < N} \quad \text{and also} \quad \mathbf{F}(\mathbf{a} \otimes \mathbf{b}) = \mathbf{F}\mathbf{a} \cdot \mathbf{F}\mathbf{b}$$

Subset Sum revisited

- Now we will use the DFT on the dynamic programming algorithm.
- In order to achieve this we first have to change perspective slightly, and consider the DP table as an array of row vectors.
- For an integer k , denote I_k as the k 'th column of the identity matrix.

Example

$$\begin{pmatrix} 1 \\ 3 \\ 4 \\ 0 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 3 \\ 4 \end{pmatrix}$$

Metamorphosis

So we had

$$A[i, j] = \begin{cases} 0 & \text{if } i = 1, e_1 \neq j, \text{ and } e_1 \neq 0 \\ 1 & \text{if } i = 1 \text{ and } (e_1 = j \text{ or } j = 0) \\ A[i - 1, j] + A[i - 1, j - e_i] & \text{if } i > 1 \end{cases}$$

And we rewrite it as

$$A[i] = \begin{cases} I_0^T + I_{e_1}^T & \text{if } i = 1 \\ A[i - 1] + A[i - 1] \otimes I_{e_i}^T & \text{if } i > 1 \end{cases}$$

- Recall we are interested in $A[n, t] = A[n]_t$.



Metamorphosis

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Metamorphosis

$$\mathbf{F}(A[i]) = \begin{cases} \mathbf{F}(I_0^T + I_{e_1}^T) & \text{if } i = 1 \\ \mathbf{F}(A[i-1] + A[i-1] \otimes I_{e_i}^T) & \text{if } i > 1 \end{cases}$$

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- And all dependency between different components of vectors is gone, since we only use addition and point wise multiplication.

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The transformed table

index of vector →

		0	1	2	3	4	5	6	7	8	$t-6339$	$t-3175$	t	nt
1	1	5	23	68	79	14	143	87	401	413	154	294	513	94
	2	41	25	325	83	25	325	6	72	9	97	32	273	26
	5	43	12	13	91	150	13	267	65	89	256	426	18	103
which vector ↓	3156	6	65	44	12	109	44	325	9	25	169	113	93	284
	3164	72	43	98	72	83	98	83	43	78	365	52	265	185
	n 3175	516	12	78	283	12	247	43	102	13	62	77	112	394

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- Any component of the bottom row can be computed using $\mathcal{O}(n)$ additions and multiplications!

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The finishing touch

- So we can compute any component of the vector $\mathbf{F}(A[n])$ fast.
- Now we can compute $A[n]_t$ according to

$$A[n]_t = (\mathbf{F}^{-1}(\mathbf{F}(A[n])))_t =$$

$$\left(\left(\begin{array}{cccc} 1 & 1 & \dots & 1 \\ 1 & \omega & \dots & \omega^{-(N-1)} \\ \vdots & \vdots & \omega^{-ij} & \vdots \\ 1 & \omega^{-(N-1)} & \dots & \omega^{-(N-1)(N-1)} \end{array} \right) \left(\begin{array}{c} (\mathbf{F}(A[n]))_1 \\ \vdots \\ (\mathbf{F}(A[n]))_{N-1} \end{array} \right) \right)_t$$

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- and we are **done!**

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which vector	3156	6	65	44	12	109	44	325	9	25	169	113	93	284
	3164	72	43	98	72	83	98	83	43	78	365	52	265	185
	n 3175	516	12	78	283	12	247	43	102	13	62	77	112	394

The new algorithm uses $\tilde{O}(n^3 t \log t)$ time and $\tilde{O}(n^2)$ space.

Further remarks

- The algorithm has to work in a field where there exists an ω .
- This can be achieved by using for example complex numbers with finite precision.
- We also used the Möbius transformation to save space for a different type of DP algorithms
 - in combination with the DFT, this found applications to among others the Traveling Salesman and Weighted Steiner Tree problems.
- It would be interesting to find more transformations that also are useful to save space for existing DP algorithms.



Further research

- Can we efficiently save space for "Min-Sum DP algorithms"?
 - For the Knapsack problem the approach results in an algorithm much slower than the corresponding DP algorithm.
- Are there space and time efficient algorithms for deciding properties of partial k -trees (for example, maximum independent set)?
- Does there exist a positive ϵ such that
 - Subset Sum can be solved in $\mathcal{O}((2 - \epsilon)^n)$ time and polynomial space?
 - Subset Sum can be solved in $\mathcal{O}(n^c t^{(1-\epsilon)})$ time?



Thanks for listening!

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