

# Faster Space-Efficient Algorithms for Subset Sum

## Nikhil Bansal<sup>1</sup>, Shashwat Garg<sup>1</sup>, Jesper Nederlof<sup>1</sup>, Nikhil Vyas<sup>2</sup>

1. Eindhoven University of Technology, Eindhoven, Netherlands 2. Indian Institute of Technology Bombay, India

### Abstract

We present randomized algorithms that solve Subset Sum and Knapsack Instances with  $n$  items in  $O^*(2^{0.86n})$  time and polynomial space, assuming random read-only access to exponentially many random bits. Here  $O^*(\cdot)$  omits factor polynomial in the input size. Underlying these results is an algorithm that determines whether two given lists of length  $n$  with integers bounded by a polynomial in  $n$  share a common value. Assuming random read-only access to random bits, we show that this problem can be solved using  $O(\log n)$  space significantly faster than the trivial  $O(n^2)$  time algorithm if no value occurs too often in the same list.

### Introduction

**Subset Sum (SSS)**

$$w(X) := \sum_{i \in X} w_i$$

Given integers  $w_1, \dots, w_n, t$ , is there  $X \subseteq [n]$  with  $w(X) = t$ ?

**Example SSS instance**

$w_1, \dots, w_{12} = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12\}$ ,  $t = 50$

**Main question: How efficiently can we solve SSS exactly?**

### Some known results

Much exciting recent progress!!

**Instances with small  $t$ :**

- Classic DP by [Bellman (50's)]:  $O^*(t)$  time and space
- Improved to  $O^*(t)$  time and poly space [LN(STOC'10)]

**Instance with large  $t$  (i.e.  $t = 2^n$ ):**

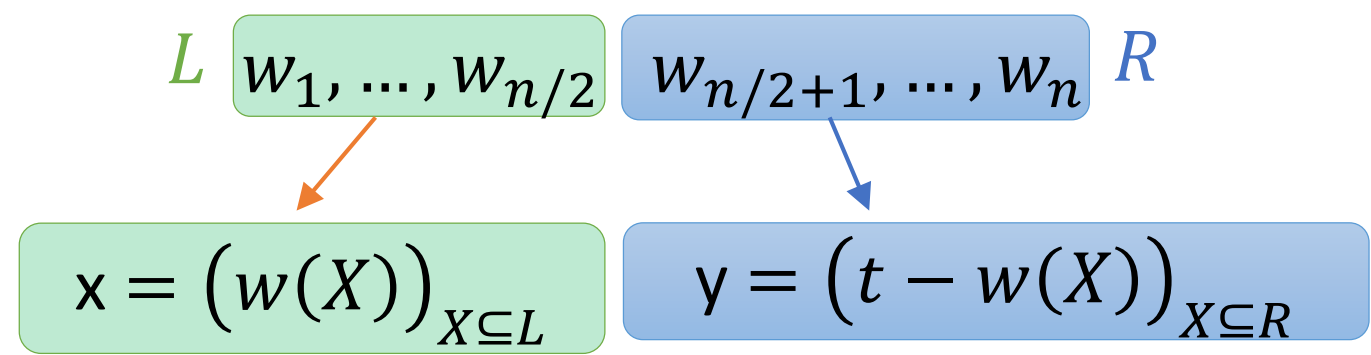
Meet-in-the-middle (MitM) [HS(JACM'74)]:  $O^*(2^{n/2})$  time

1. Let  $L = (w_1, \dots, w_{n/2})$   $R = (w_{n/2+1}, \dots, w_n)$

2. Compute all possible  $2^{n/2}$  sums

3. For each  $v \in x$ , check  $v \in y$

Sort  $y$  + binary search in 3:  $O^*(2^{n/2})$  time and space



- In  $O^*(2^{n/2})$  time,  $O^*(2^{n/4})$  space [SS(SICOMP'81)]
- In  $O^*(2^{0.49991n})$  time, if  $\geq 2^{0.997n}$  distinct sums [AKKN(STACS'16)]

See right side poster

**Natural question: Can we beat  $O^*(2^n)$  using polynomial space?**

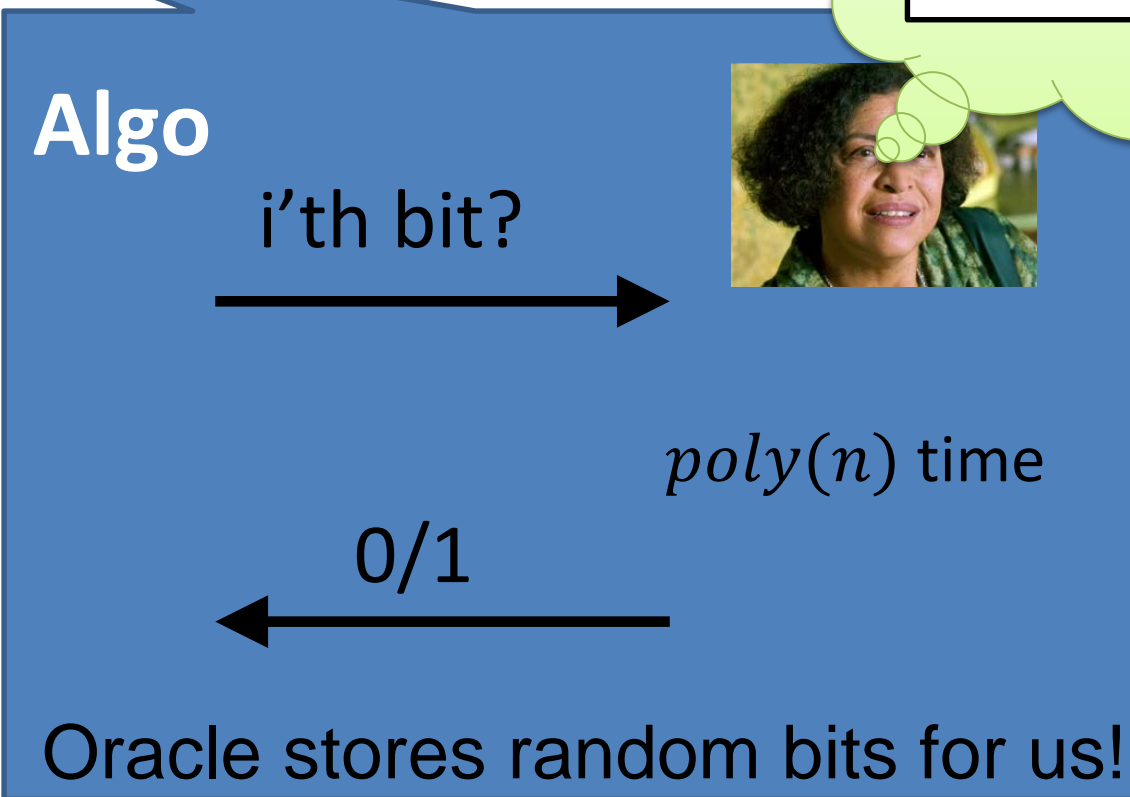
### Our Contribution

**Main theorem: SSS in  $O^*(2^{0.86n})$  time and poly space, if given a random oracle is given.**

- Weaker assumption than sufficiently strong PRG's

Main theorem generalizes to

**Thm:** Binary LP on  $n$  vars,  $o(n/\log^2 n)$  constraints in time  $O^*(2^{0.86n})$  and poly space, if given a random oracle



- Follows by combining Main theorem with [NLZ (MFCS'12)]

Also, random\* 3SUM is solved in  $O(n^{2.5})$  time and  $O(\log n)$  space

\*see the paper

### Proof idea main theorem

Let  $w(2^{[n]}) = \{w \cdot x : x \in \{0,1\}^n\}$   
i.e. all possible  $2^n$  sums generated by  $w = (w_1, \dots, w_n)$   
Let  $d = |w(2^{[n]})|$  i.e. # distinct sums

**Case 1**  $d \leq 2^{0.86n}$  (few distinct sums)

a) Hash mod  $O(d)$ , which makes  $t = O^*(d)$

By a union bound over all sums from  $w(2^{[n]})$ , introduce false positives with only constant probability

b) Use  $O^*(t)$  time poly space algo [LN(STOC'10)]

Interpolates the polynomial  $p(x) = \prod_{i=1}^n (1 + x^{w_i})$  to determine the coefficient of  $x^t$  using inverse DFT

**Case 2**  $d > 2^{0.86n}$  (many distinct sums)

a) Upper bound max bin size

$$b_{max} = \max_v |\{x \in \{0,1\}^n : w \cdot x = v\}|$$

Lemma AKKN(STACS'16): $d \cdot b_{max} \leq 2^{1.5n}$	$w$	$d$	$b_{max}$	Histogram
	0 0 0 0 0	1	32	
	1 2 4 8 16	32	1	
	1 2 3 4 5	16	3	

- Subset Sum distribution smooth: cool AC result!
- Proved via simple connection to 'Uniquely Decodable Code Pairs'
- As  $d > 2^{0.86n}$ , we obtain  $b_{max} \leq 2^{0.64n}$

b) Use Floyd's cycle finding

Idea: Use MitM without sorting. Need to solve problem similar to

**Element Distinctness (ED) Ex. ED instance**

Given list  $z$  of  $m$  ints, find two positions with equal ints, if exist

5 3 4 3 2 10 7 8 1 6

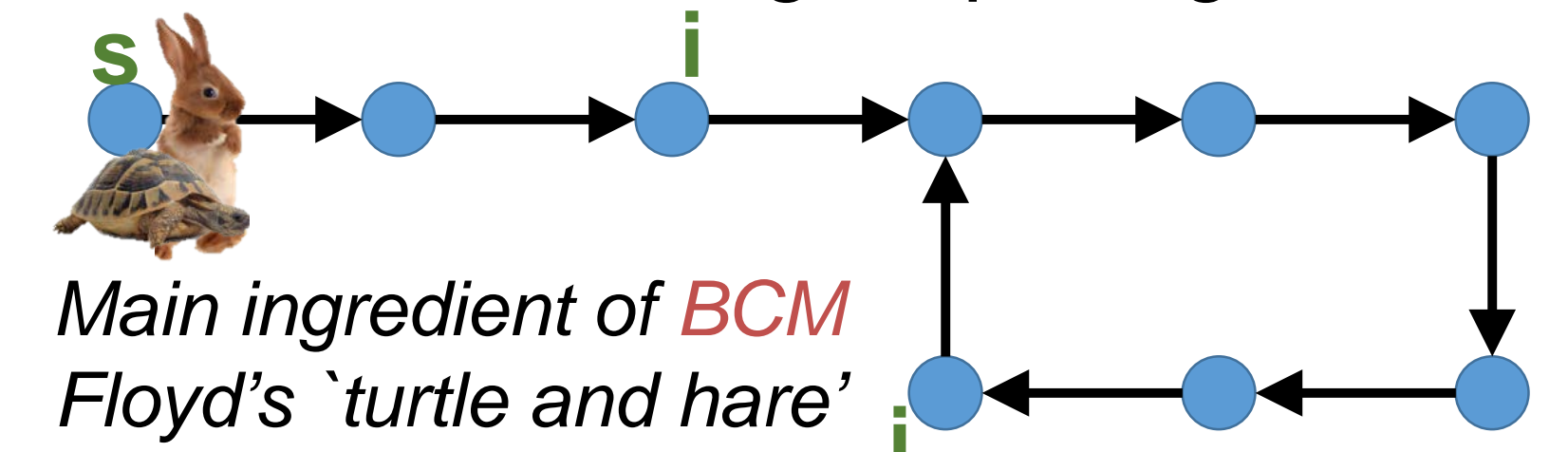
Repeat:

- Define  $z$  as the concatenation of  $x$  and  $y$
- (Almost) uniformly sample a solution of ED instance  $z$
- Check if 'fake' solution or a real SSS solution

**Crux:  $O(\#fake\ sols) \leq O(2^{0.89n})$  by max bin bound!**

How to sample fast in step 2.? We extend the following surprising result:

**Thm [BCM(FOCS'13)]:** ED in  $\tilde{O}(m^{1.5})$  time,  $O(\log m)$  space, if given random oracle



Main ingredient of BCM Floyd's 'turtle and hare'

And obtain an algorithm for the following general problem **List Disjointness (LD) Ex. LD instance**

Given lists  $x, y$ , find two indices  $i, j$  s.t.  $x_i$  equals  $y_j$ .

$x$  5 3 5 8 8 7  $y$  4 3 6 2 1 6

Denoting  $f(x, y) = \sum_{v=1}^m |x^{-1}(v)|^2 + |y^{-1}(v)|^2$  for #fake sols, we get

**Thm:** LD In  $\tilde{O}(m\sqrt{f})$  time and  $O(\log m)$  space, if given  $f \geq f(x, y)$  and random oracle