FUNCTIONAL PEARL

A correct-by-construction conversion to combinators

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Abstract

This pearl defines a translation from well-typed lambda terms to combinatory logic, where the preservation of types and the correctness of the translation is enforced statically.

1 Introduction

Historically, there is a close connection between the lambda calculus and combinatory logic (Curry *et al.*, 1958; Schönfinkel, 1924). In this pearl, we will show how to implement a translation from the simply-typed lambda terms to combinators such that both the *types* and *semantics* of each lambda term is preserved. While we could do so by defining a translation scheme and proving these facts post-hoc, we instead uncover a solution that is correct by construction and requires no further proofs or postulates.

2 Lambda calculus

To set the scene, we start by defining an evaluator for the simply typed lambda calculus in the dependently typed programming language Agda (Norell, 2007). This evaluator features in numerous papers and introductions on programming with dependent types (McBride, 2004; Norell, 2009, 2013; Abel, 2016), yet we include it here in its entirety for the sake of completeness.

Types

The *types* of our lambda calculus consist of a single base type (ι) and functions between types, denoted using the function space operator (\Rightarrow):

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data U : Set where

\iota : U

\_\Rightarrow\_ : U \rightarrow U \rightarrow U
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47	We can map these types to their Agda counterparts:
48 49 50	$ \begin{array}{ll} Val: U \to Set \\ Val \iota & = A \\ Val (u_1 \Rightarrow u_2) = Val u_1 \to Val u_2 \end{array} $
51 52 53 54 55	Here the interpretation of the base type, ι , is mapped to a type A : Set, that we provide as a module parameter. The remainder of this development does not depend on the interpretation of our base type in any meaningful way. Finally, we will represent <i>contexts</i> as lists of such types:
56	Ctx = List U
57 58 59	Typically, we will use variable names drawn from the Greek alphabet to refer to types (such as σ and τ) and contexts (Γ).
60	Terms
61 62 63 64	Before we define the <i>terms</i> of the simply typed lambda calculus, we need to decide on how to treat variables. Initially, we will define the following inductive family, modelling valid references to a type σ in a given context Γ :
65 66 67	$\begin{array}{l} \textbf{data} \ Ref \ (\sigma : U) : Ctx \rightarrow Set \ \textbf{where} \\ Top \ : \ Ref \ \sigma \ (\sigma :: \Gamma) \\ Pop \ : \ Ref \ \sigma \ \Gamma \rightarrow Ref \ \sigma \ (\tau :: \Gamma) \end{array}$
68 69 70 71	Erasing the type indices, we are left with the Peano natural numbers—corresponding to the typical De Bruijn representation of variable binding. We can now define the data type for well-typed, well-scoped lambda terms as follows:
72 73 74 75	$\begin{array}{l} \textbf{data} \ Term \ : \ Ctx \rightarrow U \rightarrow Set \ \textbf{where} \\ App \ : \ Term \ \Gamma \ (\sigma \Rightarrow \tau) \rightarrow Term \ \Gamma \ \sigma \rightarrow Term \ \Gamma \ \tau \\ Lam \ : \ Term \ (\sigma :: \ \Gamma) \ \tau \rightarrow Term \ \Gamma \ (\sigma \Rightarrow \tau) \\ Var \ : \ Ref \ \sigma \ \Gamma \rightarrow Term \ \Gamma \ \sigma \end{array}$
76 77 78 79	Each constructor mirrors a familiar typing rule: applications require the domain and argu- ment's type to coincide; lambda abstractions introduce a new variable in the context of the lambda's body; the Var constructor may be used to refer to any variable currently in scope.
80	Evaluation
81 82 83 84	The dependent types in the definition of Term pay dividends once we try to define an evaluator for lambda terms. Before we can do so, however, we need to introduce a data type for <i>environments</i> :
85 86 87	$\begin{array}{ll} \textbf{data} \; Env \; : \; Ctx \rightarrow Set \; \textbf{where} \\ \text{Nil} & : \; Env \; [] \\ \text{Cons} \; : \; Val \; \sigma \rightarrow Env \; \Gamma \rightarrow Env \; (\sigma :: \Gamma) \end{array}$
89 90 91	An environment stores a value for each variable in the context Γ , as witnessed by the following lookup function:
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Note that this function is *total*. The type indices ensure that there is no valid variable in the
 empty context; correspondingly, the lookup function need never worry about returning a
 value when the environment is empty.

We can now define an evaluator for the simply typed lambda calculus:

That this code type checks at all is somewhat surprising at first. It maps App constructors to Agda's application and Lam constructors to Agda's built-in lambda construct. Once again, the type indices ensure that the evaluation of the Lam construct must return a function (and hence we may introduce a lambda). Similarly in the case for applications, evaluating t_1 will return a function whose domain coincides with the type of the value arising from the evaluation of t_2 . Finally, the environment of type Env Γ passed as an argument contains just the right values for all the variables drawn from the context Γ .

3 Translation to combinatory logic

Before we can define the translation from lambda terms to combinators, we need to fix our target language. As a first attempt, we might try something along the following lines, replacing the Lam constructor in the Term data type with the three familiar combinators from combinatory logic, S, K, and I:

data Comb:Set where SKI:Comb

 $\begin{array}{l} \mathsf{App}: \mathsf{Comb} \to \mathsf{Comb} \to \mathsf{Comb} \\ \mathsf{Var}: ... \to \mathsf{Comb} \end{array}$

Yet if we aim for our translation to be type-preserving, the very least we can do is decorate our combinators with the same type information as our lambda terms:

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                 data Comb (\Gamma : Ctx) : U \rightarrow Set where
                                 : Comb \Gamma((\sigma \Rightarrow \tau \Rightarrow \tau') \Rightarrow (\sigma \Rightarrow \tau) \Rightarrow (\sigma \Rightarrow \tau'))
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                       S
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                       Κ
                                 : Comb \Gamma(\sigma \Rightarrow \tau \Rightarrow \sigma)
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                                 : Comb \Gamma(\sigma \Rightarrow \sigma)
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                       App : Comb \Gamma (\sigma \Rightarrow \tau) \rightarrow Comb \Gamma \sigma \rightarrow Comb \Gamma \tau
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                       Var : Ref \sigma \Gamma \rightarrow \text{Comb } \Gamma \sigma
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The types of both the App and Var constructors are the same as we saw for the lambda terms; the types of the S, K, and I combinators is fixed by their intended reduction behaviour:

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120	Sfgx=(fx)(gx)
139	K x y = x
140	$ \mathbf{x} = \mathbf{x}$
142	Note that—as our Comb lacks lambdas and cannot introduce new variables—the context
143	is now a <i>parameter</i> , rather than an <i>index</i> as we saw for the Term data type. This is the
144	essence of combinatory logic: a language with variables, but without binders.
145	Yet we will strive to do even better. We will define a translation that preserves both the
146	static and dynamic semantics of our lambda terms. To achieve this, we index our com-
147	binators with both their types and their intended semantics, given by a function of type
148	Env $\Gamma \rightarrow Val u$, yielding this final version of our combinators:
149	data Comb : $(\Gamma : Ctx) \rightarrow (u : U) \rightarrow (Env \Gamma \rightarrow Valu) \rightarrow Set where$
150	S : Comb $\Gamma((\sigma \Rightarrow \tau \Rightarrow \tau') \Rightarrow (\sigma \Rightarrow \tau) \Rightarrow \sigma \Rightarrow \tau') (\lambda env \rightarrow \lambda fgx \rightarrow (fx) (gx))$
151	K : Comb Γ ($\sigma \Rightarrow (\tau \Rightarrow \sigma)$) ($\lambda env \rightarrow \lambda x y \rightarrow x$)
152	I : Comb Γ ($\sigma \Rightarrow \sigma$) (λ env $\rightarrow \lambda x \rightarrow x$)
153	$Var \ : \ (i \ : \ Ref \ \sigma \ \Gamma) \to Comb \ \Gamma \ \sigma \ (\lambda \ env \to lookup \ i \ env)$
154	$App:Comb\Gamma(\sigma{\Rightarrow}\tau)f{\rightarrow}Comb\Gamma\sigmax{\rightarrow}Comb\Gamma\tau(\lambdaenv{\rightarrow}(fenv)(xenv))$
155	Here the type of each base combinator ($S_{\rm K}$ and I) contains both its type and semantics
156	For example, the l combinator has type $\sigma \Rightarrow \sigma$ and corresponds to the lambda term $\lambda x \rightarrow x$.
159	None of the combinators rely on the additional environment parameter env. This environ-
159	ment is used in the Var constructor; just as we saw in our evaluator for lambda terms,
160	this environment stores a value for each variable. Finally, the App constructor applies one
161	combinator term to another. The type information for both the Var and App constructors
162	coincide with their counterparts from the Term data type; their intended semantics can be
163	read off from the evaluator for lambda terms, [[t]], that we defined previously.
164	The key difference between lambda terms and SKI combinators is the lack of lamb-
165	das in the latter. To handle this, we define an auxiliary function, sometimes referred to as
166	bracket abstraction, that maps one combinator term to another:
167	lambda : $\forall \{f\} \rightarrow \text{Comb}(\sigma :: \Gamma) \tau f \rightarrow \text{Comb} \Gamma(\sigma \Rightarrow \tau) (\lambda \text{ env } x \rightarrow f(\text{Cons } x \text{ env}))$
168	lambda S = App K S
169	lambda K 🛛 = App K K
170	lambda l
171	$lambda (App t_1 t_2) \hspace{.1in} = \hspace{.1in} App (App S (lambda t_1)) (lambda t_2)$
172	lambda(VarTop) = I
173	$lambda\left(Var\left(Popi ight) ight)=AppK\left(Vari ight)$
175	This behaviour of the lambda function should be clear from its type: given a Comb term of
175	type τ using variables drawn from the context $\sigma :: \Gamma$, the lambda function returns a combi-
170	nator of type $\sigma \Rightarrow \tau$ using variables drawn from the context Γ . Essentially, any occurrences
178	of the Var Top are replaced with the identity l; the new argument is distributed over appli-
179	cations using the S combinator; any other variables or base combinators discard this new
180	argument by introducing an additional K combinator.
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With this definition in place, we can now define our type-preserving correct-byconstruction translation. That is, we aim to define a translation with the following type:

188 translate : $(t : \text{Term } \Gamma \sigma) \rightarrow \text{Comb } \Gamma \sigma \llbracket t \rrbracket$

¹⁸⁹ Here a lambda term of type σ in the context Γ is mapped to a combinator of type σ using ¹⁹⁰ variables drawn from the context Γ in such a way that the evaluation of t and semantics of ¹⁹¹ the combinator are identical, namely, [t]. The definition of this translation is now entirely ¹⁹² straightforward:

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 \begin{array}{ll} & \mbox{translate} \left( \mbox{App}\,t_1\,t_2 \right) \,=\, \mbox{App} \left( \mbox{translate}\,t_1 \right) \left( \mbox{translate}\,t_2 \right) \\ & \mbox{translate} \left( \mbox{Lam}\,t \right) \,\,=\,\, \mbox{lambda} \left( \mbox{translate}\,t \right) \end{array}
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196 translate (Vari) = Vari
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¹⁹⁷ To see why this code type checks, note that both the (dynamic) semantics of both the ¹⁹⁸ App and Var constructors of the Comb data type coincide precisely with their semantics ¹⁹⁹ as lambda terms, [[App t₁ t₂]] and [[Var i]] respectively. Finally, if translating the body of ²⁰⁰ a lambda produces some Comb term f, the lambda function produces a combinator term ²⁰¹ with the semantics $\lambda \text{ env } x \rightarrow f$ (Cons x env). The similarity between the *type* of the lambda ²⁰² function and the Lam branch of our evaluator is no coincidence.

These combinators are not the only possible choice of combinatorial basis. In particular, the S combinator *always* passes its third argument to the first two—even if it discarded. Can we do better?

4 An optimising translation

We can extend our choice of combinatorial basis extending the SKI combinators with two new combinators B and C:

²¹² Bfgx = (fx)g

²¹³ C f g x = f (g x)

When translating an application, we now need to select between four possible choices: K, B, C and S, depending on the variables that occur in the arguments of the application. How can we make this choice, while still guaranteeing that types and semantics are preserved accordingly? The key insight is that we need more information about the variables in our lambda terms.

Contexts and subsets

Previously used a single context to capture *all* the variables that *may* be used. To account for the variables that *have been* used, we need to keep track of a subset of this context. To do so, we begin by defining the following subset predicate:

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data Subset : Ctx \rightarrow Set where
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Empty : Subset Г
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\begin{array}{ccc} & \mathsf{Drop} & : \ \mathsf{Subset} \ \Gamma \to \mathsf{Subset} \ (\tau :: \Gamma) \end{array}
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 $\begin{array}{ccc} & \mathsf{Keep} & : \mathsf{Subset}\; \Gamma \to \mathsf{Subset}\; (\tau :: \Gamma) \end{array}$

A subset of some context Γ may be Empty, or it may choose to Keep or Drop the most recently bound variable of type τ . The intended semantics of such subsets can be given by computing the context underlying this subset predicate:

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 $\begin{array}{c} \lfloor _ \rfloor : \mathsf{Subset} \ \Gamma \to \mathsf{Ctx} \\ \lfloor \mathsf{Empty} \ \rfloor &= [] \\ \lfloor \mathsf{Drop} \ \Delta \ \rfloor &= \lfloor \Delta \ \rfloor \\ \lvert \mathsf{Keep} \ \{ \tau = \tau \} \ \Delta \ \rvert = \tau :: \mid \Delta \ \rvert \end{array}$

The Empty subset corresponds to the empty context; the Drop constructor discards the most recently bound variable, whereas the Keep constructor retains it. There is some choice here in the design of the Subset predicate. We could have chosen that the Empty constructor is the only possible subset of the empty context, Nil. Instead, we have allowed for a bit more wiggle room—the Empty subset is a subset of any context Γ . As we shall see later on, this distinction will be important. Note that 'sublist' would be slightly more precise than subset. We will, however, use the more common terminology of subsets to refer to the collection of variables that occur in a given lambda term.

We will need three separate operations for manipulating subsets. Not entirely coincidentally, each of these operations will be used to account for the variables used in each constructor from our Term data type. First of all, we can compute the union of two subsets:

- $_{250}$ _ _ _ : Subset $\Gamma \rightarrow Subset \Gamma \rightarrow Subset \Gamma$
- $_{251}$ Empty \cup sub = sub
- $_{252} \qquad \mathsf{Drop}\,\mathsf{xs}\ \cup\ \mathsf{Drop}\,\mathsf{ys} = \mathsf{Drop}\,(\mathsf{xs}\,{\cup}\,\mathsf{ys})$
- $_{253} \qquad \mathsf{Drop}\,\mathsf{xs}\ \cup\ \mathsf{Keep}\,\mathsf{ys} = \,\mathsf{Keep}\,(\mathsf{xs}\cup\mathsf{ys})$
- $_{254}$ Drop xs \cup Empty = Drop xs
- $_{255} \qquad {\sf Keep}\,xs \ \cup \ {\sf Drop}\,ys = {\sf Keep}\,(xs \cup ys)$
- $_{256} \qquad {\sf Keep\,xs}\ \cup\ {\sf Keep\,ys}={\sf Keep\,(xs\cup ys)}$
- $_{257}$ Keep xs \cup Empty = Keep xs

The first element of a union of two subsets is only discarded, when both subsets discard this fist element. If at least one of the two subsets retains the head element, so does the union. The remaining cases state that the empty set is the left and right identity of the union operation.

Next, we can map any variable reference of type Ref $\tau \Gamma$ to a singleton subset containing that reference and nothing else:

Finally, any subset of $\sigma :: \Gamma$ determines a unique subset of Γ , by simply ignoring whether the first element is kept or discarded:

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271 pop : Subset (σ :: Γ) → Subset Γ

272 pop (Drop s) = s

273 pop (Keep s) = s

274 pop (Empty) = Empty

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This pop operation is reminiscent of the tail operation on lists, discarding the information about the first element and returning the remaining subset.

Co-de Bruijn terms

We can now revisit our Term data type, providing additional information about the variables that occur in a given term. This representation of variables, sometimes referred to as the co-De Bruijn representation (McBride, 2018), extends our previous Term data type with an additional index of type Subset Γ . This subset records the variables used in each (sub)term:

 $\begin{array}{l} \mbox{data Term } (\Gamma \,:\, Ctx) \,:\, Subset \, \Gamma \rightarrow U \rightarrow Set \mbox{ where} \\ \mbox{Lam } :\, Term \; (\sigma :: \Gamma) \; \Delta \, \tau \rightarrow Term \; \Gamma \; (pop \; \Delta) \; (\sigma \Rightarrow \tau) \\ \mbox{App } :\, Term \; \Gamma \; \Delta_1 \; (\sigma \Rightarrow \tau) \rightarrow Term \; \Gamma \; \Delta_2 \; \sigma \rightarrow Term \; \Gamma \; (\Delta_1 \cup \Delta_2) \; \tau \\ \mbox{Var } :\; (i \;:\; Ref \; \sigma \; \Gamma) \rightarrow Term \; \Gamma \; [i \;] \; \sigma \end{array}$

Each constructor has the same context and type index as we saw previously; the only new type information is in the subset, Δ . Lambda abstractions bind the top variable in the context; applications take the union of the two subsets of variables associated with each subterm; the variables associated with a Var constructor consists of a singleton subset of that variable.

As it stands, this revised Term data type accurately captures the invariant in which we are interested: which variables are used by a term. This does, however, come at a price. The types of all the constructors of the Term data type now contain *functions* (pop, union and singleton), where previously they only used *variables* and *constructors*. One consequence of this design choice, however, is that it may complicate pattern matching: deciding the possible constructors that may inhabit a term of some given type, becomes much more difficult. To illustrate this, suppose we want to define a function with the taking an argument of type:

 $_{305}$ Term $(\boldsymbol{\sigma} :: \boldsymbol{\Gamma})$ (Keep $\boldsymbol{\Delta}$) $\boldsymbol{\tau}$

Which constructors of the Term data type should we match against? This is not a trivial question: it involves checking the *implementation* of the singleton and union functions to determine whether or not they can produce a subset of the form Keep Δ . As this is not decidable in general, Agda cannot case split on such terms. To address this, we will sometimes use *relations*, expressing the graph of a given function instead. For example, consider the Union data type defined as follows:

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data Union : Subset \Gamma \rightarrow Subset \Gamma \rightarrow Subset \Gamma \rightarrow Set where
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- $_{314}$ Empty₁ : Union Empty $\Delta \Delta$
- $_{315}$ Empty₂ : Union Δ Empty Δ
- $_{^{316}} \qquad \qquad \mathsf{Drop} \qquad : \, \mathsf{Union} \, \Delta_1 \, \Delta_2 \, \Delta \to \mathsf{Union} \, (\mathsf{Drop} \, \Delta_1) \, (\mathsf{Drop} \, \Delta_2) \, (\mathsf{Drop} \, \Delta)$
- $_{{}^{317}} \qquad \qquad \mathsf{KeepDrop}\,:\,\mathsf{Union}\,\Delta_1\,\Delta_2\,\Delta\to\mathsf{Union}\,(\mathsf{Keep}\,\Delta_1)\,(\mathsf{Drop}\,\Delta_2)\,(\mathsf{Keep}\,\Delta)$
- $\begin{array}{ll} & \text{DropKeep} : \text{Union } \Delta_1 \Delta_2 \Delta \rightarrow \text{Union} \left(\text{Drop } \Delta_1\right) \left(\text{Keep } \Delta_2\right) \left(\text{Keep } \Delta\right) \end{array}$
- $_{_{319}} \qquad \qquad \mathsf{KeepKeep} \,:\, \mathsf{Union}\, \Delta_1\, \Delta_2\, \Delta \to \mathsf{Union}\, (\mathsf{Keep}\, \Delta_1)\, (\mathsf{Keep}\, \Delta_2)\, (\mathsf{Keep}\, \Delta)$

323 324	We can show that the Union $\Delta_1 \Delta_2 \Delta$ data type is inhabited precisely when union $\Delta_1 \Delta_2$ is Δ :
325	union : $(\Delta_1 \Delta_2 : Subset \ \Gamma) \to Union \ \Delta_1 \Delta_2 \ (\Delta_1 \cup \Delta_2)$
326 327 328 329 330	The definition of the union function follows the same structure of recursion as the $_\cup_$ function, selecting the appropriate case of the Union relation depending on its inputs. Similarly, the Singleton relation and singleton function express that a subset of the context Γ is equal to the singleton [i], for some reference i:
331 332 333 334 335 336 337	$ \begin{array}{l} \mbox{data Singleton} \left\{ \sigma : U \right\} : \mbox{Ref} \sigma \Gamma \rightarrow \mbox{Subset} \Gamma \rightarrow \mbox{Set} \mbox{ where} \\ \mbox{Here} : \mbox{Singleton} (\mbox{Top} \left\{ \Gamma = \Gamma \right\}) (\mbox{Keep Empty}) \\ \mbox{There} : (i : \mbox{Ref} \sigma \Gamma) \rightarrow \mbox{Singleton} i \Delta \rightarrow \mbox{Singleton} (\mbox{Pop} i) (\mbox{Drop} \Delta) \\ \mbox{singleton} : (i : \mbox{Ref} \tau \Gamma) \rightarrow \mbox{Singleton} i [i] \\ \mbox{singleton} \mbox{Top} = \mbox{Here} \\ \mbox{singleton} (\mbox{Pop} i) = \mbox{There} i (\mbox{singleton} i) \\ \end{array} $
338	Evaluation
339 340 341 342	We now turn our attention to defining an evaluator for our new Term data type. Rather than take an environment Env Γ , storing a value for <i>all</i> possible variables drawn from Γ as we did previously, we now choose the following type for our evaluator:
343	$\llbracket_\rrbracket: Term \ \Gamma \ \Delta \ \sigma \to (Env \ \lfloor \ \Delta \ \rfloor \to Val \ \sigma)$
344 345 346 347	Here we take an environment of type $Env \lfloor \Delta \rfloor$ as argument, storing variables for all the variables that <i>are</i> used, rather than the variables that <i>might be</i> used. To accomplish this, we need to define three functions for projecting the relevant parts of an argument environment:
348 349 350	$\begin{array}{l} prj_1 : Union\Delta_1\Delta_2\Delta \to Env\lfloor\Delta\rfloor \to Env\lfloor\Delta_1\rfloor\\ prj_2 : Union\Delta_1\Delta_2\Delta \to Env\lfloor\Delta\rfloor \to Env\lfloor\Delta_2\rfloor\\ prj : \{i: Ref\sigma\Gamma\} \to Singletoni\Delta \to Env\lfloor\Delta\rfloor \to Val\sigma \end{array}$
351 352 353 354	Each of these definitions follows the inductive structure of the Union and Singleton relations, triggering the reduction in the Env $\lfloor \Delta \rfloor$ type. The evaluator itself is only slightly more complicated than the one we saw initially:
355 356 357 358 359 360 361 362 363	$ \begin{split} \llbracket_\rrbracket: \mbox{Term} \ \Gamma \ \Delta \ \sigma \ \to \ (\mbox{Env} \ \lfloor \ \Delta \ \rfloor \ \to \mbox{Val} \ \sigma) \\ \llbracket \ Lam \ \{ \ \Delta \ = \ \mbox{Keep} \ \ \Delta \ \} \ t \ \rrbracket & = \ \lambda \ \mbox{env} \ \to \ \lambda \ x \ \to \ \llbracket \ t \ \rrbracket \ \ (\mbox{Cons} \ x \ \mbox{env}) \\ \llbracket \ \ Lam \ \{ \ \Delta \ = \ \ \ Drop \ \ \Delta \ \} \ t \ \rrbracket & = \ \lambda \ \ \ env \ \to \ \lambda \ x \ \to \ \llbracket \ t \ \rrbracket \ \ \ (\ \ Cons \ x \ \ env) \\ \llbracket \ \ \ Lam \ \{ \ \Delta \ = \ \ \ Drop \ \ \Delta \ \} \ t \ \rrbracket & = \ \lambda \ \ \ env \ \to \ \lambda \ x \ \to \ \ \ \ \ \ \ \ t \ \ \ \ \ \ \ \ \$
364 365	The Var case projects the single value of type Val σ from the argument environment. The case for applications recurses as expected, projecting the relevant values from the input

environment. Finally, the case for lambda abstractions is more interesting. Evaluation continues on the body of the lambda t, but the environment is only extended with the freshly bound variable x, when it occurs in t. Otherwise, it can be safely discarded.

Combinators revisited

We can now revisit our language representing the terms of combinatory logic. Initially, our data type declaration had the following form:

data Comb : $(\Gamma : Ctx) \rightarrow (\sigma : U) \rightarrow (Env \ \Gamma \rightarrow Val \ \sigma) \rightarrow Set$ where

However, we now want to keep track of an additional subset Δ : Subset Γ , that tracks the variables that occur in each subterm. Hence we may consider defining a type for combinators using the following declaration:

data Comb : $(\Gamma : Ctx) \rightarrow (\Delta : Subset \Gamma) \rightarrow (\sigma : U) \rightarrow (Env \Gamma \rightarrow Val \sigma) \rightarrow Set$ where

Yet there is one further adaptation necessary. Previously, our evaluator mapped a term in Term $\Gamma \sigma$ to a function Env $\Gamma \rightarrow \text{Val } \sigma$. In the preceding pages, however, our evaluator now takes an environment of type Env $\lfloor \Delta \rfloor$ as its argument. Correspondingly, we declare our data type for combinatory logic terms as follows:

data Comb : $(\Gamma : Ctx) \rightarrow (\Delta : Subset \Gamma) \rightarrow (\sigma : U) \rightarrow (Env | \Delta | \rightarrow Val \sigma) \rightarrow Set$ where 388 S : Comb Γ Empty $((\sigma \Rightarrow (\tau \Rightarrow \tau')) \Rightarrow ((\sigma \Rightarrow \tau) \Rightarrow (\sigma \Rightarrow \tau'))) \lambda$ env f g x \rightarrow (f x) (g x) 389 $\mathsf{B}\,:\,\mathsf{Comb}\,\Gamma\,\mathsf{Empty}\,((\sigma\,{\Rightarrow}\,\tau)\,{\Rightarrow}\,(\tau^{\,\prime}\,{\Rightarrow}\,\sigma)\,{\Rightarrow}\,(\tau^{\,\prime}\,{\Rightarrow}\,\tau))\,\lambda\,\mathsf{env}\,f\,g\,x\,{\rightarrow}\,f\,(g\,x)$ 390 C : Comb Γ Empty $((\sigma \Rightarrow \tau \Rightarrow \tau') \Rightarrow \tau \Rightarrow \sigma \Rightarrow \tau') \lambda$ env f g x \rightarrow (f x) g 391 K : Comb Γ Empty $(\sigma \Rightarrow (\tau \Rightarrow \sigma)) \lambda$ env x y \rightarrow x 392 $\mathsf{I} \, : \, \mathsf{Comb} \, \Gamma \, \mathsf{Empty} \, (\sigma \! \Rightarrow \! \sigma) \, \lambda \, \mathsf{env} \, x \! \rightarrow \! x$ 393 App : \forall {fx} \rightarrow Comb $\Gamma \Delta_1 (\sigma \Rightarrow \tau)$ f \rightarrow Comb $\Gamma \Delta_2 \sigma x \rightarrow$ 394 $(u : Union \Delta_1 \Delta_2 \Delta) \rightarrow$ 395 Comb $\Gamma \Delta \tau \lambda$ env \rightarrow (f (prj₁ u env)) (x (prj₂ u env)) 396 Var : (i : Ref $\sigma \Gamma$) \rightarrow (s : Singleton i Δ) \rightarrow Comb $\Gamma \Delta \sigma (\lambda env \rightarrow prj senv)$ 397

In principle, not much has changed. The base combinator, such as S and B, are decorated with an empty subset. The general pattern—adding additional information about their types and corresponding lambda terms—should be familiar by now. The constructors for application, App, and variables, Var, are variations of the ones we saw previously. Each application records how the union of the variables in the subsets Δ_1 and Δ_2 gives rise to Δ . Similarly, the subset associated with a variable is a singleton. The behaviour associated with each of these constructors can be read off of the cases for application and variables from the semantics of terms we defined previously.

To complete our development, we now seek to define a translation from lambda terms to these combinators:

translate : (t : Term $\Gamma \Delta \sigma$) \rightarrow Comb $\Gamma \Delta \sigma \llbracket t \rrbracket$

Just as we saw previously, we can map variables to variables and applications to applications. The key question is: how do we handle lambda bindings? Previously, we defined a single function:

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 $\mathsf{lambda} \,:\, \mathsf{Comb}\,(\sigma :: \Gamma)\,\tau\, f \,{\rightarrow}\, \mathsf{Comb}\,\Gamma\,(\sigma \,{\Rightarrow}\, \tau)\,(\lambda\,\mathsf{env}\,x \,{\rightarrow}\, f\,(\mathsf{Cons}\,x\,\mathsf{env}))$

Yet we can now see from the evaluation function, that we will need to distinguish between whether or not the freshly bound variable x is used. Before we can do so, however, we define a pair of functions:

 $\begin{array}{ll} \label{eq:str-stop} {}^{419} & \quad \mbox{str-stop} : \forall \, \{f : \, \mbox{Env}\, [] \, \rightarrow \, \mbox{Val}\, \tau \} & \quad \ \ \rightarrow \, \mbox{Comb}\, (\sigma :: \Gamma) \, (\mbox{Empty}) \, \tau \, f \, \rightarrow \, \mbox{Comb}\, \Gamma \, \mbox{Empty} \, \tau \, f \\ \mbox{str-drop} : \forall \, \{f : \, \mbox{Env}\, \lfloor \, \Delta \, \rfloor \, \rightarrow \, \mbox{Val}\, \tau \} \, \rightarrow \, \mbox{Comb}\, (\sigma :: \Gamma) \, (\mbox{Drop}\, \Delta) \, \tau \, f \, \rightarrow \, \mbox{Comb}\, \Gamma \, \mbox{\Delta}\, \tau \, f \\ \mbox{421} & \quad \ \mbox{All}\, \Gamma \, \ \mbox{All}\, \Gamma \, \ \mbox{All}\, \Gamma \, \ \mbox{All}\, \Gamma \, \ \ \mbox{All}\, \Gamma \, \ \ \ \ \ \ \ \ \ \$

These functions are dual to the usual 'weakening' operation, where you introduce an unused variable. Instead, they establish a 'strengthening' principle, proving that unused arguments may be discarded safely. Their definition is entirely straightforward, pattern matching on the argument combinator and recursing over applications.

We can use such strengthening functions to define our first variation of the lambda function. If we know that an argument is unused, we can introduce the K combinator directly and discard it:

⁴²⁹ drop-lambda : $\forall \{f\} \rightarrow$

 $\begin{array}{ll} {}^{430} & \mbox{Comb} (\sigma :: \Gamma) \, (\mbox{Drop} \, \Delta) \, \tau \, f \, \rightarrow \, \mbox{Comb} \, \Gamma \, \Delta \, (\sigma \Rightarrow \tau) \, (\lambda \, \mbox{env} \, _ \, \rightarrow \, f \, \mbox{env}) \\ {}^{431} & \mbox{drop-lambda} \, t \, = \, \mbox{App} \, K \, (\mbox{str-drop} \, t) \, \mbox{Empty}_1 \end{array}$

The more interesting case is when the freshly bound variable *is* used in the combinator we have constructed so far. To handle this case, we introduce a keep-lambda function whose type signature mirrors the lambda function we saw previously:

 $\begin{array}{ll} {}^{436} & {\sf keep-lambda} : \forall \, \{f\} \rightarrow \\ {}^{437} & {\sf Comb} \, (\sigma :: \Gamma) \, ({\sf Keep} \, \Delta) \, \tau \, f \rightarrow {\sf Comb} \, \Gamma \, \Delta \, (\sigma \Rightarrow \tau) \, (\lambda \, {\sf env} \, v \rightarrow f \, ({\sf Cons} \, v \, {\sf env})) \end{array}$

⁴³⁹ It is here that we must decide which combinator to use, depending on which subterms depend on the freshly bound variable. We can distinguish the following cases:

448 The first two cases are familiar: we can use the S combinator to pass the freshly bound vari-449 able to both arguments of an application; the only possible variable is Var Top, which we 450 map to the | combinator as before. The next two cases are new: depending on which sub-451 term depends on the freshly bound variable, we select the B or C combinator accordingly. 452 We recurse over the subterm that uses the variable; we apply our strengthening principle to 453 the subterm that does not, proving that this variable can be safely discarded. The complete 454 definition of keep-lambda includes two cases, corresponding to the $Empty_1$ and $Empty_2$ 455 constructors of the Union data type, which are handled similarly. 456

The two functions, keep-lambda and drop-lambda, pattern match on a combinator term with a *particular* subset of variables. If we were to use functions rather than relations in the indices of the constructors of the Comb data type, we would run into unification problems

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during pattern matching. The relations, while introducing some overhead, clarify the three cases that can result in a subset of variables of the from Keep Δ .

These functions rely on the empty set being a left identity of the union of subsets. For example, in the drop-lambda function, we build an application of the form App K (str-drop t). To establish this has the desired subset Δ , we need to show that the union arising from the application, also has the index Δ . This follows from the Empty₁ constructor of the Union data type. Note that if we had taken the empty subset Empty to have type Subset [], this would no longer hold definitionally and would instead require an additional lemma proving this fact.

Using these two variations of the lambda function, we can revisit our translation to combinatory logic:

 $\begin{array}{ll} \mbox{472} & \mbox{translate}: (t: \mbox{Term}\,\Gamma\,\Delta\,\sigma) \rightarrow \mbox{Comb}\,\Gamma\,\Delta\,\sigma\,[\![\,t\,]\!] \\ \mbox{473} & \mbox{translate}\,(\mbox{App}\,t_1\,t_2) & = \mbox{App}\,(\mbox{translate}\,t_1)\,(\mbox{translate}\,t_2)\,(\mbox{union}\,__) \\ \mbox{474} & \mbox{translate}\,(\mbox{Lam}\,\{\Delta\,=\,\mbox{Drop}\,\Delta\}\,t) & = \mbox{drop-lambda}\,(\mbox{translate}\,t) \\ \mbox{475} & \mbox{translate}\,(\mbox{Lam}\,\{\Delta\,=\,\mbox{Keep}\,\Delta\}\,t) & = \mbox{keep-lambda}\,(\mbox{translate}\,t) \\ \mbox{476} & \mbox{translate}\,(\mbox{Lam}\,\{\Delta\,=\,\mbox{Empty}\,\}\,t) & = \mbox{App}\,K\,(\mbox{str-stop}\,(\mbox{translate}\,t))\,\mbox{Empty}_2 \\ \mbox{477} & \mbox{translate}\,(\mbox{Var}\,x) & = \mbox{Var}\,x\,(\mbox{singleton}\,x) \\ \end{array}$

As we saw previously, applications and variables are not particularly interesting. The real work is done in the case for lambda bindings. Depending on if the freshly bound variable is used or not, either keep-lambda or drop-lambda is invoked, after translating the lambda's body.

5 Reflection

Although the translation schemes are reasonably straightforward, finding them was not. Writing dependently typed programs in this style—folding a program's specification into its type—may feel like a bit of a parlour trick, where the right choice of definitions ensure the entire construction is correct. Yet reading through these definitions *post hoc*—like so often with Agda programs—does not always tell how they were written.

In particular, the *type safe* translation from lambda terms to SKI combinators is a question I have set my students in the past. Proving this translation correct, requires defining a semantics for combinatory terms and showing that the translation is semantics preserving. Interestingly, this direct proof requires an axiom—functional extensionality—in the case for lambdas, as we need to prove two functions equal. Yet the *structure* of proof is simple enough: it relies exclusively on induction hypotheses and a property of the lambda function. It is this observation that makes it possible to incorporate the correctness proofs in the definitions themselves. Extending the translation scheme to also use the B and C combinators is harder—but follows naturally once you have the right choice of variable representation.

As our starting point, we have taken the 'traditional' simply-typed lambda calculus. More recent work by Kiselyov (2018), shows how a slight modification to the traditional typing rules allows for a denotation semantics as combinators directly. Formalising this in a proof assistant, however, is left as an exercise for the reader.

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