

1

Heterogenous binary random-access lists

Functional pearl

Wouter Swierstra

Utrecht University

• Lists are one of the very first data types that we teach undergraduates learning functional programming.

- Lists are one of the very first data types that we teach undergraduates learning functional programming.
- Students that go on to *industry* use more efficient structures to store large amounts of data, such as finite maps or balanced binary trees.

- Lists are one of the very first data types that we teach undergraduates learning functional programming.
- Students that go on to *industry* use more efficient structures to store large amounts of data, such as finite maps or balanced binary trees.
- Students that stay in *academia* to do a PhD use heterogeneous lists (aka HLists) to write evaluators for lambda calculi.

Question: Can we define a data structure that is both heterogeneous and efficient?

Question: Can we define a data structure that is both heterogeneous and efficient?

This is not a theoretical problem

Christiansen et al. wrote in their paper on Dependently Typed Haskell in Industry at ICFP last year:

the experience of profiling Crucible showed that linear access... imposed an unacceptable overhead on the simulator

This pearl demonstrates how to implement heterogeneous binary random-access lists in Agda.

- the same API as heterogeneous lists:
 - an empty structure (Nil);
 - an operation to add a new element to the front (Cons);
 - an operation to access elements (lookup or !!)

All these operations are total and type-safe.

• no coercions or additional lemmas needed to type check.

I won't try to cover the whole paper in this talk – but instead present *homogeneous* binary random-access lists, originally due to Okasaki.

The heterogeneous version follows naturally from this, by indexing a data structure with a binary random-access list storing the types of all the values it contains.

From lists to trees

To achieve super linear access, we need to shift from lists to trees.

In a perfect world, we only ever have to store 2^n elements...

This is easy to do in a perfectly balanced binary tree of depth *n*



From lists to trees

To achieve super linear access, we need to shift from lists to trees.

In a perfect world, we only ever have to store 2^n elements...

This is easy to do in a perfectly balanced binary tree of depth *n*



Accessing elements in a tree

To denote a particular value stored in a tree of depth n, we need to n steps telling us to continue in the left subtree or the right subtree.

```
data Path : Nat \rightarrow Set where

Here : Path Zero

Left : Path n \rightarrow Path (Succ n)

Right : Path n \rightarrow Path (Succ n)

lookup : Tree a n \rightarrow Path n \rightarrow a

lookup (Node t<sub>1</sub> t<sub>2</sub>) (Left p) = lookup t<sub>1</sub> p

lookup (Node t<sub>1</sub> t<sub>2</sub>) (Right p) = lookup t<sub>2</sub> p

lookup (Leaf x) Here = x
```

Note: the indices ensure we that this function is total.



A binary random-access list consists of a list of perfect binary trees of increasing depth.

At the *i*-th position in this list, there may or may not be a perfect binary tree of depth *i*.

Binary random-access lists storing three elements

• , •

Binary random-access lists storing four elements



Binary random-access lists storing five elements



Binary numbers

Every number can be written as a sum of powers of two.

A number's representation in binary determines the shape of the binary random-access list storing that many elements.

Binary numbers

Every number can be written as a sum of powers of two.

A number's representation in binary determines the shape of the binary random-access list storing that many elements.

data Bin : Set where End : Bin One : Bin \rightarrow Bin Zero : Bin \rightarrow Bin bsucc : Bin \rightarrow Bin bsucc End = One End bsucc (One b) = Zero (bsucc b) bsucc (Zero b) = One b

```
data RAL (a : Set) (n : Nat) : Bin \rightarrow Set where
Nil : RAL a n End
Cons<sub>1</sub> : Tree a n \rightarrow RAL a (Succ n) b \rightarrow RAL a n (One b)
Cons<sub>0</sub> : RAL a (Succ n) b \rightarrow RAL a n (Zero b)
```

- the binary number counts the number of elements and uniquely determines the shape of our random-access list
- the number n increases as we go down the list the next tree is going to have more elements (unlike vectors, for example)
- we usually start counting from n = Zero, but it's useful to be a bit more general.

We can now define a type Pos n b that denotes an element stored in a RAL a n b:

Each position traverses the outer list of trees, ending with a path of depth n.

```
lookup : RAL a n b 
ightarrow Pos n b 
ightarrow a
```

Finally, we might want to add new elements to the binary random-access list.

A first attempt might be to define a function such as:

```
cons : a \rightarrow RAL a Zero b \rightarrow RAL a Zero (bsucc b)
```

Finally, we might want to add new elements to the binary random-access list.

A first attempt might be to define a function such as:

```
cons : a \rightarrow RAL a Zero b \rightarrow RAL a Zero (bsucc b)
```

But we quickly get stuck – we cannot make any recursive calls as the 'tail' of the binary random-access list stores larger trees.

Finally, we might want to add new elements to the binary random-access list.

A first attempt might be to define a function such as:

```
cons : a \rightarrow RAL a Zero b \rightarrow RAL a Zero (bsucc b)
```

But we quickly get stuck – we cannot make any recursive calls as the 'tail' of the binary random-access list stores larger trees.

Instead, we need to define a more general operation that adds a tree of depth n to a binary random-access list:

consTree : Tree a n \rightarrow RAL a n b \rightarrow RAL a n (bsucc b)

Conclusions

• We can extend this to the heterogeneous case:

```
data HRAL : RAL U n b \rightarrow Set where ...
```

- Despite the apparent complexity, writing an 'efficient' lambda calculus evaluator written using heterogeneous binary random-access lists is no harder than using heterogeneous lists.
- 'Easy' to port to Haskell in 130 lines of code...

Conclusions

• We can extend this to the heterogeneous case:

```
data HRAL : RAL U n b \rightarrow Set where ...
```

- Despite the apparent complexity, writing an 'efficient' lambda calculus evaluator written using heterogeneous binary random-access lists is no harder than using heterogeneous lists.
- 'Easy' to port to Haskell in 130 lines of code...
- ... of which 10% is language extensions pragmas

Conclusions

• We can extend this to the heterogeneous case:

```
data HRAL : RAL U n b \rightarrow Set where ...
```

- Despite the apparent complexity, writing an 'efficient' lambda calculus evaluator written using heterogeneous binary random-access lists is no harder than using heterogeneous lists.
- 'Easy' to port to Haskell in 130 lines of code...
- ... of which 10% is language extensions pragmas

Choose the right datastructure

- and ensure that your type indices capture the key invariants.

Question: Can we define a data structure that is both heterogeneous and efficient?

Results: This pearl demonstrates how to implement *heterogeneous binary random-access lists* in Agda.

- the same API as heterogeneous lists;
- All these operations are total and type-safe; no coercions or additional lemmas needed to type check.

Key insight: any number can be expressed as a sum of powers of two; any number of elements can be stored in a series of perfect trees of increasing depth.